



Notice of meeting of

Effective Organisation Overview & Scrutiny Committee

To: Councillors Steward (Chair), Douglas, Firth, Funnell, Hodgson, McIlveen (Vice-Chair), Scott and Warters

Date: Wednesday, 20 July 2011

Time: 5.30 pm

Venue: The Guildhall, York.

AGENDA

1. Declarations of Interest

At this point Members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

2. Minutes (Pages 3 - 12)

To approve and sign the minutes of the meeting held on 11 January 2011 and 28 June 2011.

3. Public Participation

At this point in the meeting, members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is **Tuesday 19 July 2011 at 5pm.**

4. Capital Programme Outturn 2010/11. (Pages 13 - 34)

The purpose of this report is to:

- Set out the Councils capital programme outturn position for 2010/11 along with the final outturn position;
- Inform the Committee of any under or overspends and seek approval for any resulting changes to the programme;
- Inform the Committee of any slippage and seek approval for the associated funding to be slipped to or from the financial years to reflect this.
- Inform Members of the funding position of the capital programme.

Provide an update on future years capital programme.

5. Year End Performance Report for 2010-11. (Pages 35 - 54)

This report provides Members with a summary of the Council's performance highlights for 2010-11. More detailed analysis and graphs are provided at Annex 1.

6. Financial Outturn 2010-11 (Pages 55 - 64)

The purpose of this report is to provide details of the headline financial performance issues for 2010-11, covering the period 1 April 2010 to 31 March 2011. The report assesses performance against budgets, outlines requests for carry forwards and provides a position statement on the council's revenue reserves.

7. Draft Work Plan for 2011/2012. (Pages 65 - 70)

This report deferred from the meeting on 28 June 2011 presents the Committee's draft work plan for the forthcoming year and asks Members to consider any additions and/or amendments they may wish to make to it. The draft work plan is attached at Annex A to this report.

8. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972

Democracy Officer

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting whose contact details are given above.

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

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Holding the Executive to Account

The majority of councillors are not appointed to the Executive (40 out of 47). Any 3 non-Executive councillors can 'call-in' an item of business from a published Executive (or Executive Member Decision Session) agenda. The Executive will still discuss the 'called in' business on the published date and will set out its views for consideration by a specially convened Scrutiny Management Committee (SMC). That SMC meeting will then make its recommendations to the next scheduled Executive meeting in the following week, where a final decision on the 'called-in' business will be made.

Scrutiny Committees

The purpose of all scrutiny and ad-hoc scrutiny committees appointed by the Council is to:

- Monitor the performance and effectiveness of services;
- Review existing policies and assist in the development of new ones, as necessary; and
- Monitor best value continuous service improvement plans

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City of York Council

Committee Minutes

MEETING	EFFECTIVE ORGANISATION OVERVIEW & SCRUTINY COMMITTEE
DATE	11 JANUARY 2011
PRESENT	COUNCILLORS HEALEY (CHAIR), HORTON (VICE-CHAIR), D'AGORNE, FIRTH, GUNNELL, HYMAN AND LOOKER (SUBSTITUTE)
APOLOGIES	COUNCILLORS HOBAN AND JAMIESON-BALL

33. **DECLARATIONS OF INTEREST**

At this point in the meeting Members were asked to declare any personal or prejudicial interests they may have in the business on the agenda. None were declared.

34. **MINUTES**

RESOLVED: That the minutes of the meeting held on 23rd November 2010 be approved and signed by the Chair as a correct record.

35. **PUBLIC PARTICIPATION**

It was reported that there had been no registrations to speak under the Council's Public Participation Scheme.

36. **ATTENDANCE OF SCRUTINY MANAGEMENT COMMITTEE CHAIR.**

The Chair of the Scrutiny Management Committee attended the meeting to learn about the Scrutiny Committee's views on the effectiveness of scrutiny generally in York and in particular on the success of ongoing changes and improvements to current scrutiny practices.

Members made the following points:

- No way of implementing the majority of actions of Scrutiny reviews due to budget issues.
- There has been reviews in the past that have tried to have ambition and vision rather than focusing on actions that require financial backing.
- Some Members felt that scrutiny meeting agendas need to focus on processes and procedures within the council rather than on information reports.
- Other Members felt that information reports were useful in identifying what to scrutinise.
- More liaison with directors needs to take place to establish exactly what needs to be scrutinised.
- In general good scrutiny topics are being covered.

RESOLVED: That the Chair of SMC received the feedback as above.

REASON: To keep the Chair of SMC informed on Members views on Scrutiny.

37. FAIRNESS AND INCLUSION UPDATE

Members considered a report which informed them on the progress being made in relation to fairness and inclusion matters in the Council. The report focused on actions arising from the corporate Fairness and Inclusion Strategy (FIS) and the Single Equality Scheme (SES) 2009-12.

Members noted the progress being made particularly in respect of the Equality Advisory Group and the Equality Impact Assessments.

RESOLVED: That Members noted the report.

REASON: To keep Members informed on issues

38. MONITORING THE CORPORATE WORKFORCE PLAN 2010-12

Members considered a report which updated them on the following:

- Feedback on progress made against actions in the Workforce Plan 2010-12 during its first six months (May-Oct 2010).
- How the plan and action plan will be refreshed to take account of the substantial change in government spending and policy and be aligned with the refreshed corporate plan and 2011/12 budget.

Officers outlined the report and advised that a number of the 80 plus actions associated with the plan will be ongoing over the next two years and not completed until 2012, but already 6 actions had been completed and a further 2/5 of the actions are on track.

Officers advised Members of the following key points:

- Apprenticeships, which had been the focus of a Scrutiny topic, is an area that will be focused upon in the long term, at present HR is focusing its efforts on matters such as redeployment of existing staff in light of budget cuts.
- A workforce plan steering group will be established and will lead on refreshment of the plan as required.
- Eureka the scheme through which staff can submit suggestions to improve ways of working at the Council had been a success.

RESOLVED: That Members note the progress made against the Workforce Plan action plan in the first six months, May to October 2010 and proposed next steps.

REASON: To keep Members informed.

39. 2011-12 BUDGET UPDATE II - GRANT FUNDING

Members considered a report which had originally been received by the Executive. It provided them with an update on the 2011/12 budget process, with emphasis on the latest information available regarding funding and how this might effect the Council's provisional grant settlement.

The Assistant Director of Customer and Business Support Services (Finance) outlined the report and advised Members that the Council is expecting a 13.3% drop in formula grant with a further 10% drop expected in 2012/13.

RESOLVED: That Members noted the report and the current position and the ongoing work being conducted in relation to developing the 2011/12 budget.

REASON: So that the 2011/12 budget process can be completed in a timely manner.

40. DRAFT FINAL REPORT FROM REVIEW OF CUSTOMER SERVICES

Members considered a report which presented the findings from the scrutiny review of the Council's Customer Complaints policy carried out by a Task Group made up of members from the Effective Organisation Committee. Members were asked to consider and approve the recommendations arising from the review.

The Members who had formed the Task Group advised the rest of the Committee of the Committee that the review had been undertaken at the correct time and had enabled Members to feed into the Corporate Customer Feedback Policy.

In terms of the recommendations the Committee felt that recommendation 6 could be worded differently to reflect that stage one complaints do not receive an acknowledgement letter as it is policy for officers to deal with stage one complaints within 10 days.

RESOLVED: That the Effective Organisation Overview and Scrutiny Committee agreed the recommendations listed in the report.¹

REASON: To achieve the objectives of the review, including reducing the number of complaints and contributing to any further efficiency savings beyond those identified by the More for York review.

Action Required

1. Refer to Executive - add to Exec Forward Plan. MC

41. WORKPLAN

Members considered the draft workplan for the Effective Organisation Overview and Scrutiny Committee for the remainder of the 2010-11 municipal year.

At Members request, the remaining meeting scheduled for 22 February 2011 will be cancelled and the information only report be circulated via email to the Committee.

RESOLVED: That the amendment above be approved.

REASON: To ensure a robust and relevant workplan is in place for the municipal year.

Councillor Healey, Chair

[The meeting started at 5.00 pm and finished at 6.30 pm].

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MEETING	EFFECTIVE ORGANISATION OVERVIEW & SCRUTINY COMMITTEE
DATE	28 JUNE 2011
PRESENT	COUNCILLORS STEWARD (CHAIR), DOUGLAS, HODGSON, MCILVEEN (VICE-CHAIR), SCOTT, WARTERS, FITZPATRICK (SUBSTITUTE) AND CUTHBERTSON (SUBSTITUTE)
APOLOGIES	COUNCILLORS FIRTH AND SEMLYEN

1. DECLARATIONS OF INTEREST

At this point in the meeting, Members are asked to declare any personal or prejudicial interests they may have in the business on the agenda.

Councillor Hodgson declared a personal interest as a member of Unison, the Public and Commercial Services Union and as an ex employee of the NHS Trust.

Councillor Fitzpatrick declared a personal interest as a Trade Union official for East Riding of Yorkshire Council.

Councillor Scott declared a personal interest as his wife is an employee of City of York Council

2. MINUTES

RESOLVED: That the minutes of 11th January be brought to a future meeting for sign off, once the previous Chair has approved them.

3. PUBLIC PARTICIPATION

It was reported that there had been no registrations to speak under the Council's Public Participation Scheme.

4. ARRANGEMENTS FOR OVERVIEW & SCRUTINY IN YORK.

Members considered a report which highlighted the current structure for the provision of Overview and Scrutiny function and the resources available to support it. It also details the agreed terms of reference for the individual Overview and Scrutiny Committees.

Officers outlined the report and drew Members' attention to paragraph 7, which detailed the Effective Organisation Overview Committee's areas of responsibility.

Members sought clarification on some points, in particular when monitoring reports can be expected on the meeting agenda.

RESOLVED: That Members noted the report.

REASON: To inform Members of Scrutiny arrangements.

5. PRESENTATION BY ASSISTANT DIRECTORS ON ONGOING WORK WITHIN RESOURCES DIRECTORATE AND FUTURE PLANNED WORK.

Members received a presentation by the Assistant Director for Governance and ICT on ongoing work within the Resources Directorate and future planned work.

The presentation focused on the following key priorities for the Directorate:

- The role of the Effective Organisation Overview and Scrutiny Committee.
- Customers and people in relation to the Customer Service Strategy and HR Transformation Programme.
- Governance and Information Technology issues.
- Financial Services.
- Cross Directorate issues in relation to procurement.

Members commented that the presentation had been useful and asked that a copy be circulated to the Committee.

RESOLVED: That Members noted the presentation.

REASON: To keep them informed on areas of work within the remit of the Committee.

6. ATTENDANCE OF EXECUTIVE MEMBER FOR CORPORATE SERVICES TO DISCUSS HER PRIORITIES AND CHALLENGES THIS MUNICIPAL YEAR.

The Executive Member for Corporate Services attended the meeting and addressed the Committee to highlight her priorities and challenges for the municipal year.

She advised that the following areas were of high importance:

- The budget and budget process.
- Financial processes such as Section 106 agreement monies.
- Procurement.
- Property Services expenditure and cost.
- New Council Headquarters.

RESOLVED: That Members noted the information provided by the Cabinet Member for Corporate Services.

REASON: To keep Members informed of areas of work within the remit of the Committee.

7. DRAFT WORK PLAN FOR 2011/2012.

Members considered a report which presented to them the draft work plan for the forthcoming year and asked them to consider any additions or amendments.

Members requested that this report be deferred to the July meeting for further consideration.

RESOLVED: That discussion of this item be deferred to the additional meeting in July.

REASON: In order for the Committee to give further consideration to the work plan alongside the Year End Outturn report.

Councillor Steward, Chair
[The meeting started at 5.30 pm and finished at 7.20 pm].



Effective Organisation Overview & Scrutiny**20 July 2011****Report of the Cabinet Member for Corporate Services****CAPITAL PROGRAMME OUTTURN 2010/11 AND REVISIONS TO THE 2011/12 – 2015/16 PROGRAMME****Report Summary**

- 1 The purpose of this report is to:
 - Set out the Councils capital programme outturn position for 2010/11 along with the final outturn position;
 - Inform the Committee of any under or overspends and seek approval for any resulting changes to the programme;
 - Inform the Committee of any slippage and seek approval for the associated funding to be slipped to or from the financial years to reflect this.
 - Inform Members of the funding position of the capital programme.
 - Provide an update on future years capital programme.

Consultation

- 2 The capital programme was developed under the Capital Resource Allocation Model (CRAM) framework and agreed by Council on 25 February 2010. Whilst the capital programme as a whole is not consulted on, the individual scheme proposals and associated capital receipt sales do follow a consultation process with local Councillors and residents in the locality of the individual schemes.

Summary of the 2010/11 Capital Programme

- 3 The 2010/11 – 2014/15 capital programme was approved by Council on 25th February 2010. Since then a number of amendments have taken place as reported to the Executive in the 2009/10 Capital Programme Monitor 3 report, the 2009/10 Capital Programme Outturn report and the 2010/11 Capital Programme Monitor 1, 2 and 3 report. The changes made as result of the above papers have resulted in a current approved capital programme for 2010/11 of £64.926m, financed by £35.020m of external funding, and internal funding of £29.906m. Table 1 illustrates the movements from the start budget to the current approved position at monitor 2.

	Gross Budget £m	External Funding £m	Internal Funding £m
Original Budget Approved by Council at 25 Feb 2010	73.298	38.880	34.418
Amendments from 2009/10 Monitor 3 report	5.324	3.390	1.934
Amendments from 2009/10 Outturn report	3.357	1.372	1.985
Amendments from 2010/11 Monitor 1 report	(0.447)	0.114	(0.561)
Amendments from 2010/11 Monitor 2 report	(8.226)	(5.938)	(2.288)
Amendments from 2010/11 Monitor 3 report	(8.380)	(2.798)	(5.582)
Current Approved Capital Programme	64.926	35.020	29.906

Table 1 Current Approved Programme

- 4 Capital expenditure in 2010/11 totalled £53.932m compared to a spend of £58.480m in 2009/10, a decrease of £5.458m.

5 Figure One illustrates the increase in capital programme size since 1999/00, during which time it has risen from £19.5m to this years outturn of £53.932m.

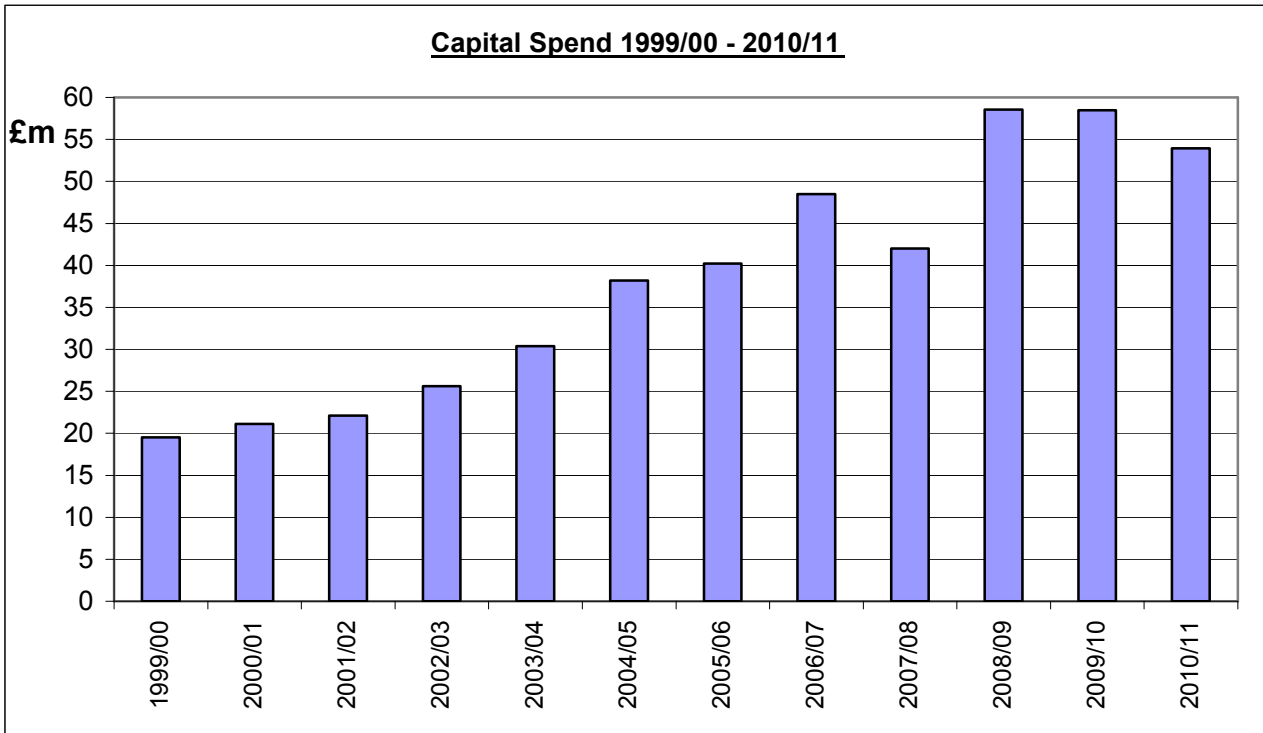


Figure 1: CYC Capital Programme Expenditure 1999/00-2010/11

6 Figure 2 shows the 2009/10 £53.932m expenditure split by departments.

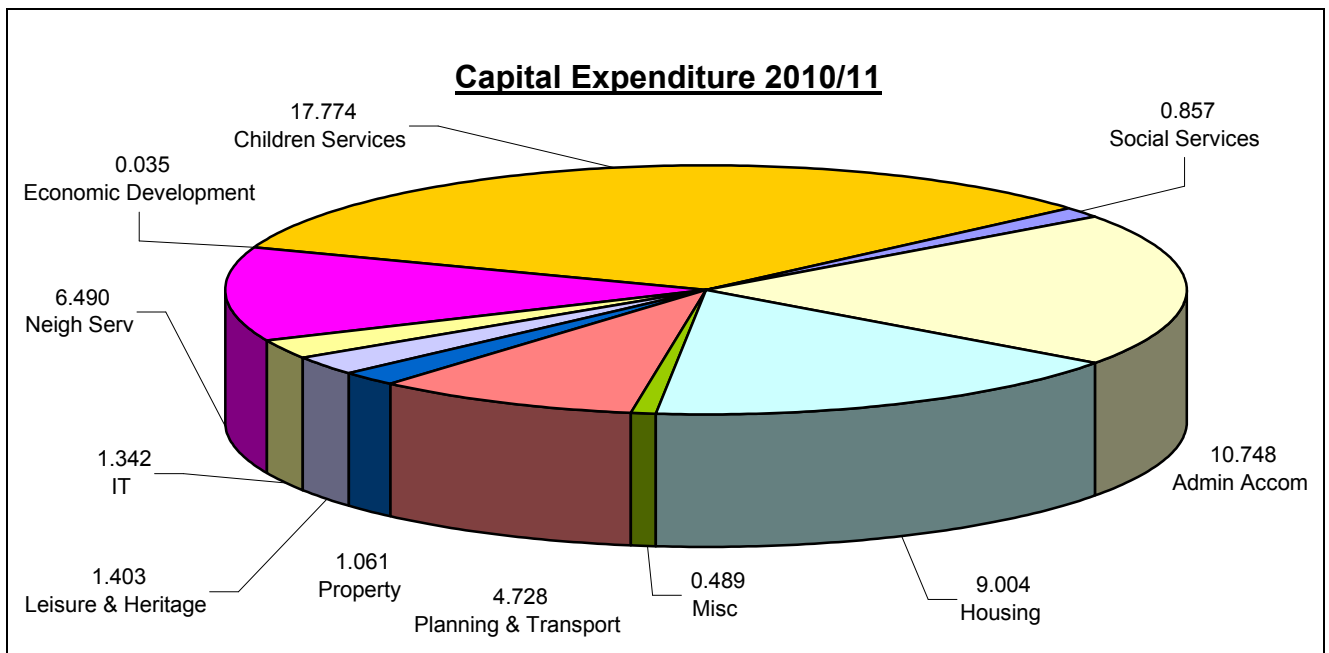


Figure 2 Capital Outturn by Department 2010/11

2010/11 Capital Programme Outturn and Overview

- 7 The 2010/11 capital outturn of £53.921m represents an under spend of £10.995m against the restated budget of £64.926m, a variance of 16.9%.
- 8 There are requests for budgets to be carried forward (re-profiled) in to future years totalling £9.721m. Further details are provided in the following departmental analysis paragraphs. The total variances for individual departmental capital programmes along with requests for re-profiling and other key information are highlighted in Table 2.

Directorate	Department	Current Approved Budget £m	Projected Outturn £m	Variance £m	Reprofiling £m	Adjustments £m
ACE	Children's Services	24.645	17.774	(6.871)	(6.373)	(0.498)
ACE	Adult Social Services	0.876	0.857	(0.019)	0.004	(0.023)
CANS	Leisure and Culture	2.971	1.403	(1.568)	(1.636)	0.068
CANS	Neighbourhood Services	6.957	6.490	(0.467)	(0.459)	(0.008)
CANS	Housing	9.492	9.004	(0.488)	(0.469)	(0.019)
City Strategy	Planning & Transport	5.926	4.728	(1.198)	(0.566)	(0.632)
City Strategy	Admin Accom	10.651	10.748	0.097	0.097	0.000
City Strategy	Economic Development	0.035	0.035	0.000	0.000	0.000
City Strategy	Property Services	1.264	1.061	(0.203)	(0.236)	0.033
CBSS	IT	1.594	1.342	(0.252)	(0.083)	(0.169)

CBSS	Miscellaneous (Contingency etc)	0.515	0.489	(0.026)	0.000	(0.026)
	Total	64.926	53.931	(10.995)	(9.721)	(1.274)

Table 2 –Approved Budget vs. Outturn & Requests for Reprofiling

- 9 The detail that explains the under and overspends and the requirements for reprofiling are set out in the paragraphs below. All the explanations are based on movement against the approved monitor 3 position.

ACE - Children's Services (Budget £24.625m, Outturn £17.774m)

- 10 The outturn of the 2010/11 Children's Services Capital Programme is £17.774m against a budget of £24.625m, a variation of -£6.871m. Table 3 sets out the variations by scheme with explanation contained below:
- 11 A number of schemes require re-profiling at values of over £100k as follows:
- The NDS Devolved Capital scheme outturn position was £141k greater than the budget reflecting the higher level of external grant received. As in previous years, this funding has been devolved to schools to spend on their maintenance and capital priorities.
 - The Harnessing Technology programme is an under spend at outturn of £172k. As previously reported, the Harnessing Technology grant was cut in 2010/11 with the shortfall in funding replaced by a reduction in the amount delegated to schools, and by a revenue contribution from the DSG. In addition, as the capital spend on the new broadband contract was mainly incurred in 2009/10 an amount of Modernisation funding was allocated in lieu of receipt of the 2010/11 grant. Thus in 2010/11 the equivalent amount of Harnessing Technology grant has been re-allocated to replace the modernisation funding used.
 - The Targeted Capital Fund 14-19 Diploma schemes requires £2.238m of funding to be re-profiled into 2011/12 from 2010/11. As previously reported, the scheme for improvement / extension to

Applefields School of £1.5m to provide additional accommodation will not commence on site until the summer break, therefore a significant element of this budget was requires re-profiling. In addition remainder of this element of the budget now requires re-profiling in relation to work being carried out at Canon Lee and Huntington Schools, which is also now scheduled to be carried out early in 2011/12.

- The NDS Modernisation schemes shows an under spend of £400k at outturn, this funding has been used to contribute toward the Primary School Strategic Programme. The Primary School Strategic Programme requires re-profiling into 2011/12 of £2.432m. Both schemes are on-site and progressing well however, due in part to a delay in commencement of the Our Lady Queen of Martyr's scheme , the spend profile has been amended to reflect the revised work schedule.
- The Schools Access Initiative schemes require re-profiling of £22k in to 2011/12 due to delays in billing for a number of schemes.
- The Sure Start schemes under spend at outturn by £165k. This funding has been used to fund a significant number of projects within the early years PVI sector. This is the last year of the funding and was required to be spent by the end of 2010/11. An amount of £17k has been transferred to the Phase 3 Children's Centres Scheme, which is part of the same overall grant stream. The remaining under spend was because a small contingency was being held in case of problems with the four major schemes.
- Joseph Rowntree One School Pathfinder scheme is now complete with only retentions outstanding . A small under spend is currently possible but this will be confirmed when final bills have been paid. Overall re-profiling of £595k is required from 2010/11 to 2011/12.
- The City-Wide Diploma Exemplar Facility at Manor School requires £624k re-profiling due to the process of Manor converting to an academy impacting the pace of work on the new diploma exemplar facility, hence the final drawdown of funding not being required. This final payment to the Diocese who are managing the scheme is being paid over in June 2011.
- The Applefields School - Co Location scheme requires £196k re-profiling for retention purposes.

ACE - Adult Social Services (Budget £0.876m, Outturn £0.857m)

- 12 The outturn of the 2010/11 Adult Social Services Capital Programme is £0.857m against a budget of £0.876m, a variation of -£0.019m. There are no significant variations to report.

CANS Leisure and Culture (Budget £2.971, Outturn £1.403m)

- 13 The outturn of the 2010/11 Leisure and Culture Capital Programme is £1.403m against a budget of £2.971m, a variation of £1.568m.

- 14 A number of schemes require re-profiling at values of over £100k as follows:

- Milfield Lane Community Sports Centre requires £170k of funding to be re-profiled to 2011/12 from 2010/11 due to the delay of Manor School becoming an Academy. The work on the Community Sports facilities to be carried out in the summer holidays.
- DCSF Wave 2 Playbuilder scheme requires £239k of funding to be re-profiled to 2011/12 from 2010/11. The sub schemes of Haxby, Garnet Terrace, Bell Farm, Hull Road Park, Strensall, Chapelfields and The Heworth Without schemes have all commenced with completion projected by the summer 2011.
- Library Self-Issue Equipment scheme requires £124k of funding to be re-profiled to 2011/12 from 2010/11 and the two phases of installation of the machines in the branches are occurring in 2011/12 financial year.
- The Barbican Auditorium scheme requires £875k of funding to be re-profiled to 2011/12 from 2010/11 to match the timing of the invoices incurred.

Neighbourhood Services (Budget £6.957m, Outturn £6.490m)

- 15 The outturn of the 2010/11 Leisure and Culture Capital Programme is £6.490m against a budget of £6.957m, a variation of -£0.467m.

- 16 A number of schemes require re-profiling at values of over £100k as follows:

- Waste Infrastructure Capital Grant scheme requires £189k of funding to be re-profiled to 2011/12 from 2010/11. £65k relating to works at Towthorpe HWRC re: manufacture and installation of gantries and steps – this work took longer than expected but is now almost complete. £14k in respect of compactor equipment – Sourcing

of the equipment took longer than expected but is now delivered and is awaiting installation. £80k on - vehicle camera equipment – This was delayed whilst trying to source a supplier. A quotation has now been received and are awaiting placing formal order. £30k vehicle for St Nicholas Fields recycling to deliver enhanced city centre recycling vehicle – This is delayed because we are awaiting specification from the St Nicholas Fields team.

- Highway Resurfacing & Reconstruction Structural Maintenance schemes require £172k of funding to be re-profiled to 2011/12 from 2010/11. The reprofiling relates to the Hull Road scheme. The scheme budget was determined from the residual under spend following the selection of other works. The Hull Road scheme was always capable of spending more than the allocated budget, for this reason it was decided to leave the works until as late as possible to use any under spend to achieve the best possible results within the overall budget.

Housing Services (Budget £9.492m, Outturn £9.004m)

17 The outturn of 2010/11 Housing Services Capital Programme is £9.004m against a budget of £9.492m, a variation of -£488k.

18 A number of schemes require amendments at values of over £100k as follows:

- Repairs to Local Authority Properties schemes requires £131k of funding to be re-profiled to 2011/12 from 2010/11. This is comprised in the main of slippage on the ongoing heating installation programme (£114k) and slippage on the upgrading works at sheltered schemes which are taking slightly longer than anticipated to complete (£17k)
- Major Repairs Allowance schemes have under spent by £105k in 2010/11 due to Savings made on tenants choice schemes through new contracts.
- The Housing Grants & Associated Investment schemes requires £102k of funding to be re-profiled to 2011/12 from 2010/11. This slippage is due to committed loans awaiting payment.
- The Sub Regional Lettings scheme requires £111k of funding to be re-profiled to 2011/12 from 2010/11. The delay is in relation to the purchase of IT system whilst bespoke aspects of the system design were agreed.

City Strategy – Planning and Transport (Budget £5.926, Outturn £4.728m)

- 19 The outturn of the City Strategy Capital Programme is £4.728m against a budget of £5.926m, a variation of -£1.198m.
- 20 In relation to the Local Transport Plan schemes both re-profiling and adjustments are required as part of the outturn position. Reprofiling of £574k from 10/11 into 11/12 and an under spend of £632k. The primary reason for the variation is delays with 2 key schemes that whilst started before 31st March 2011, have continued into 2011/12. The key schemes that have slipped are A19/A1237 roundabout improvements (£-560k compared to £1.2m budget) and a delay in installation of new cycle access to the station which is managed by East Coast (£-103k compared to budget of £200k).
- 21 The underspend of £632k relates to feasibility works and studies which were programmed in the Local Transport Plan and completed. However this work is a revenue cost and therefore has been accounted for as revenue spend.

City Strategy Administrative Accommodation (Budget £10.651, Outturn £10.748m)

- 22 The outturn figure is £10.748m for administrative accommodation against a budget of £10.651m, a variation of £97k. The scheme has spent slightly ahead of schedule with the £97k being re-profiled from 2011/12 to 2011/11 and remains within the overall budget as at 31st March 2011 of £43.804m

Economic Development (Budget £0.035m, Outturn £0.035m)

- 23 The outturn of the Economic Development Programme is £35k against a budget of £35k.

Property Services (Budget £1.264m, Outturn £1.061m)

- 24 The outturn of the Property Services capital programme is £1.061m against a budget of £1.264m, a variation of -£0.203m.
- 25 Contained within the in year under spend figure of -£0.203m is outturn position for Clements Hall.

- 26 The requirement for additional building works were mainly carried out at the start of the project when the building was opened up and more of the structure revealed which was previously inaccessible. These included: extensive damp ingress, gable ends, basement and foundations work, roof structure and stabilisation of the chimney structures.
- 27 In addition a rooflight was installed with installation of new leading, replacing a number windows and doors that all placed additional financial pressure on the scheme. Whilst the contingency sums in the budget covered a number of these items the amount of work required was far greater than this allocation.
- 28 Additional costs were also incurred as a result of working with the Trust to identify their requirements to use and manage the building which were not foreseen by them at the start of the project. These included: An external store, improved kitchen specification, additional noise insulation, increased furniture requirements to meet the needs of occupiers especially in the offices, and additional equipment. to enable the Trust to make the range of facilities and types of uses immediately available on the opening of the hall to maximise usage and income.
- 29 The original budgeted position is shown below in table 3 along with projected outturn of the scheme and proposed sources of financing. Budgets totalling £140k from areas within the Property Services Capital Programme have been used to part fund the overspend pressure, the £140k is comprised of £110k property key components budget and £30k DDA budget:

Government funding	£977k
CYC contribution agreed at outset	£144k
Total	£1,121k
Projected Outturn	£1,336k
Overspend	£205k
Proposed Funding:	
Underspends from Other Property Budgets	£140k
To be met from corporate resources (Pru Borrowing)	£65k

Table 3 – Clements Hall Financial Position

CBSS IT (Budget £1.594m, Outturn £1.342m)

- 30 The outturn of the IT Capital Programme is £1.342m against a budget of £1.594m, a variation of -£0.252m.
- 31 The variation is comprised of 2 parts the first an under spend of £169k that relates to several projects coming in under budget including Government Connect and the Citrix upgrade and secondly slippage that relates to the I-Trent project.

Miscellaneous (Budget £0.515m, Outturn £0.489m)

- 32 The outturn of the miscellaneous items is £0.489m against a budget of £0.515m, a variation of -£0.026m
- 33 Within the net variance of -£0.026m is the outturn position for the capital spend in relation to the More for York transformation programme. A total of £489k was spent on recycling containers per the original investment projection.
- 34 The contingency budget was removed as part of the outturn process with pressures relating to minor scheme variations being addressed as part of this report.

Funding the 2010/11 Capital Programme

- 35 The 2010/11 capital programme of £53.981m has been funded from £27.092m external funding and £26.839m of internal funding. The internal funding includes resources such as revenue contributions, Supported Capital Expenditure, capital receipts and reserves.
- 36 The overall funding position continues to be closely monitored to ensure the overall capital programme remains affordable and is sustainable over the 5 year approved duration.

Update on the 2011/12 – 2015/16 Capital Programme

- 37 The restated capital programme for 2011/12 to 2015/164 split by portfolio is shown in table 4. The individual scheme level profiles can be seen in Annex 1.

Department		2011/12 Budget	2012/13 Budget	2013/14 Budget	2014/15 Budget	2015/16 Budget	Total Budget
		£m	£m	£m	£m	£m	£m
CE	Children's Services	15.128	5.583	5.583	5.583	0.000	31.877
CE	Adult Social Services	0.722	0.495	0.505	0.515	0.525	2.762
NS	Leisure and Culture	6.218	0.886	0.000	0.000	0.000	7.104
NS	Neighbourhood Services	8.952	3.490	3.456	3.384	3.747	23.029
NS	Housing	11.841	8.411	8.680	8.426	6.441	43.799
Strategy	Planning & Transport	6.117	18.219	8.826	2.713	0.000	35.875
Strategy	Admin Accom	11.366	14.906	1.468	0.000	0.000	27.740
Strategy	Community Stadium	4.000	0.000	0.000	0.000	0.000	4.000
Strategy	Economic Development	0.058	0.000	0.000	0.000	0.000	0.058
Strategy	Property Services	1.920	2.147	0.100	0.100	0.100	4.367
SS	IT	1.133	0.750	0.750	0.750	0.750	4.133
SS	Miscellaneous (Contingency etc)	0.300	0.000	0.000	0.000	0.000	0.300
	Total	67.755	54.887	29.368	21.471	11.563	185.044

Table 4 – Restated Capital Programme 2011/12 to 2014/15

- 38 Table 5 shows the projected call on Council resources going forward.

	2011/12 £m	2012/13 £m	2013/14 £m	2014/15 £m	2015/16 £m	Total £m
Gross Capital Programme	67.755	54.887	29.368	21.471	11.563	185.044
Funded by						
External Funding	29.700	29.243	23.321	16.870	7.206	106.340

Council Controlled Resources	38.055	25.664	6.047	4.601	4.357	78.704
Total Funding	67.755	54.887	29.368	21.471	11.563	185.044

Table 5 - 2011/12 –2015/16 Capital Programme Financing

- 39 The Council controlled figure is comprised of a number of resources that the Council has ultimate control over how it chooses to apply them, these include Right to Buy receipts, Revenue Contributions, Supported (government awarded) Borrowing, Prudential (Council funded) Borrowing, Reserves (including Venture Fund) and Capital Receipts.
- 40 It should be recognised that capital receipts which form part of the Council Controlled Resources should be considered at risk of not being realised within set time frames and to estimated values until the receipt is received. The capital programme is predicated on a small number of large capital receipts, which if not achieved would cause significant funding pressures for the programme. The Director of Customer and Business Support closely monitors the overall funding position to ensure that the over the full duration of the capital programme it remains balanced, any issues with regard to financing will be reported as part of the standard reporting cycle to the Cabinet.

Corporate Objectives

- 41 All schemes approved as part of the capital programme have been scored through the Capital Resource Allocation Model (CRAM), which rigorously evaluates scheme submissions against key corporate objectives and national government priorities.

Financial Implications

- 42 The financial implications are considered in the main body of the report.

Human Resources Implications

- 43 There are no HR implications as a result of this report

Equalities Implications

44 The capital programme seeks to address key equalities issues that affect the Council and the public. Schemes that address equalities include the Disabilities Support Grant, the Schools Access Initiative, the Community Equipment Loans Store (CELS) and the Disabilities Discrimination Act (DDA) Access Improvements. The Easy@York project is also aimed at improving access to Council services for all residents.

45 All individual schemes will be subject to Equalities Impact Assessments

Legal Implications

46 There are no HR implications as a result of this report .

Crime and Disorder

47 There are no crime and disorder implications as a result of this report

Information Technology

48 There are no information technology implications as a result of this report

Property

49 The property implications of this paper are included in the main body of the report which covers the funding of the capital programme from capital receipts.

Risk Management

50 The capital programme is regularly monitored as part of the corporate monitoring process. In addition to this the Capital Asset Management Group (CAMG) meets regularly to plan monitor and review major capital schemes to ensure that all capital risks to the Council are minimised.

Recommendations

51 The Cabinet is requested to:

- Note the 2010/11 capital outturn position and approve the requests for slippage totalling £9.721m from 2010/11 programme to the 2011/12 capital programme.
- Recommend to Full Council the restated 2011/12 to 2015/16 programme as summarised in Table 4 and detailed in Annex 1.
- Recommend to Full Council the use of additional Council resources in the form of prudential borrowing at a value of £65k to fund the overspend on Clements Hall.

Reason:

- To allow the continued effective financial management of the capital programme from 2011/12 to 2015/16.

Contact Details

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**Report
Approved**



Date 06/07/2011

Keith Best
Head of Finance
Customer and Business Support

**Report
Approved**



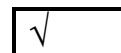
Date 06/07/2011

Specialist Implications Officer(s)

None

Wards Affected: *List wards or tick box to indicate all*

All



For further information please contact the author of the report

For further information please contact the author of the report
Background Papers 2010/11 monitoring working papers and respective
DMT reports (where applicable).

Annex 1 – Capital Programme by year 2010/11 – 2015/16

CAPITAL BUDGET - 2010/11 - 2015/16		2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2015/16
		Outturn	Outturn	Revised	Outturn	Outturn	Revised	Outturn	Outturn	Outturn	Outturn	Outturn	Outturn	Revised	Outturn	Outturn	Outturn	Outturn	Revised
		Adj	Shippage	Budget	Adj	Shippage	Budget	Adj	Shippage	Adj	Shippage	Adj	Shippage	Budget	Adj	Shippage	Adj	Shippage	Budget
		£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
ACE - Children's Services																			
NDS Devolved Capital																			
- External Funding	141	0	1,407	0	0	475	475	0	0	0	0	0	475	0	0	475	0	0	0
- Internal Funding	141	0	1,407	0	0	475	475	0	0	0	0	0	475	0	0	475	0	0	0
- External Funding	-172	0	214	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	-172	0	214	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Targeted Capital Fund 14-19 Diploma																			
- External Funding	-214	-2,228	639	0	2,228	3,428	3,428	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	-214	-2,228	639	0	2,228	3,428	3,428	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Huntingdon School Improvements TCF																			
- External Funding	0	0	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NDS Modernisation																			
- External Funding	-400	-27	1,351	0	27	2,891	2,891	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	-400	-27	1,351	0	27	2,891	2,891	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	-868	-27	968	0	27	2,174	2,174	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	-868	-27	968	0	27	2,174	2,174	0	0	0	0	0	0	0	0	0	0	0	0
Schools Access Initiative																			
- External Funding	-53	-223	249	0	223	223	223	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	-53	-223	249	0	223	223	223	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	-184	913	913	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	-184	913	913	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Extended Schools																			
- External Funding	107	0	391	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	107	0	391	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	400	-2,432	5,750	0	2,432	4,132	4,132	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	400	-2,432	5,750	0	2,432	4,132	4,132	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	0	0	0	0	0	1,100	1,100	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Dwent MUGA																			
- External Funding	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Fulford School Science Labs and Classrooms																			
- External Funding	-215	0	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	-215	0	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	0	0	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Yeth Capital Fund																			
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Children's Centres Phase 3																			
- External Funding	17	0	953	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	17	0	953	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Joseph Rortree One School Pathfinder																			
- External Funding	0	-595	2,685	0	595	595	595	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	67	-595	1,326	0	595	595	595	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	-67	1,326	1,359	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	-67	1,326	1,359	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Specialist Schools Status																			
- External Funding	25	0	45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	25	0	45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Aiming High for Disabled children short breaks																			
- External Funding	30	-48	189	0	48	120	120	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	30	-48	189	0	48	120	120	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
City-Wide Diploma Exemplar Facility at Manor School																			
- External Funding	0	-624	2,376	0	624	624	624	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	-624	2,376	0	624	624	624	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	0	-196	484	0	196	396	396	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	-196	484	0	196	396	396	0	0	0	0	0	0	0	0	0	0	0	0
Basic Need																			
- External Funding	0	0	0	0	0	2,334	2,334	0	0	0	0	0	0	2,334	0	0	0	0	0
- Internal Funding	0	0	0	0	0	2,334	2,334	0	0	0	0	0	0	2,334	0	0	0	0	0
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL GROSS EXPENDITURE																			
- External Funding	-488	-6,373	17,774	0	6,373	15,128	15,128	0	0	0	0	0	0	5,583	0	0	5,583	0	0
- Internal Funding	680	-6,123	15,168	0	6,123	13,173	13,173	0	0	0	0	0	0	5,583	0	0	5,583	0	0
TOTAL INTERNAL FUNDING																			
- External Funding	-1,178	-250	2,606	0	250	1,350	1,350	0	0	0	0	0	0	105	0	0	105	0	0
- Internal Funding	-10	0	71	0	0	105	105	0	0	0	0	0	0	105	0	0	105	0	0
- External Funding	-10	0	71	0	0	105	105	0	0	0	0	0	0	105	0	0	105	0	0
- Internal Funding	-10	0	71	0	0	105	105	0	0	0	0	0	0	105	0	0	105	0	0
Information Management Improvements																			
- External Funding	0	-5	0	0	5	45	45	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	-5	0	0	5	45	45	0	0	0	0	0	0	0	0	0	0	0	0
- External Funding	3	0	123	0	0	130	130	0	0	0	0	0	0	150	0	0	160	0	0
- Internal Funding	3	0	123	0	0	130	130	0	0	0	0	0	0	150	0	0	160	0	0
- External Funding	-37	0	488	0	0	250	250	0	0	0	0	0	0	250	0	0	250	0	0
- Internal Funding	-37	0	488	0	0	250	250	0	0	0	0	0	0	250	0	0	250	0	0

CAPITAL BUDGET - 2010/11 - 2015/16			2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2015/16	
	Outturn Adj £000	Outturn Shippage £000	Revised Budget £000	2010/11 Outturn Adj £000	2010/11 Outturn Shippage £000	2010/11 Revised Budget £000	2010/11 Outturn Adj £000	2010/11 Outturn Shippage £000	2010/11 Revised Budget £000	2010/11 Outturn Adj £000	2010/11 Outturn Shippage £000	2010/11 Revised Budget £000	2010/11 Outturn Adj £000	2010/11 Outturn Shippage £000	2010/11 Revised Budget £000	2010/11 Outturn Adj £000	2010/11 Outturn Shippage £000	2015/16 Revised Budget £000
Water Mains Upgrade																		
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Building Insulation Programme																		
- External Funding	0	0	700	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Property Buy Back Scheme																		
- External Funding	100	0	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ST06 Schemes (Round)																		
- External Funding	15	0	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL GROSS EXPENDITURE	-49	-469	9,004	0	469	11,841	0	8,411	0	8,411	0	8,426	0	8,426	0	8,441	0	6,441
TOTAL EXTERNAL FUNDING	-61	-178	6,055	0	178	7,954	0	6,331	0	6,331	0	6,350	0	6,350	0	6,364	0	5,159
TOTAL INTERNAL FUNDING	42	-291	2,949	0	291	3,887	0	2,080	0	2,080	0	2,076	0	2,076	0	2,077	0	1,282
TOTAL GROSS EXPENDITURE	74	-2,664	16,782	12	2,584	27,011	0	12,787	0	12,136	0	11,810	0	11,810	0	10,188	0	10,188
TOTAL EXTERNAL FUNDING	-41	-1,332	9,336	0	1,332	11,138	0	9,178	0	8,664	0	8,664	0	8,664	0	7,286	0	7,286
TOTAL INTERNAL FUNDING	-33	-1,332	7,446	12	1,332	15,873	0	4,411	0	3,127	0	3,146	0	3,146	0	2,902	0	2,902
City Strategy (Planning & Transport)																		
Local Transport Plan (LTP)																		
- External Funding	632	-574	3,483	0	574	2,483	0	1,952	0	1,952	0	2,623	0	2,623	0	2,623	0	2,623
- Internal Funding	-1,264	0	1,152	0	1,463	1,952	0	1,952	0	1,952	0	2,623	0	2,623	0	2,623	0	2,623
York City Walls - Repairs & Renewals (City Walls)																		
- External Funding	632	-574	190	0	574	190	0	142	0	142	0	90	0	90	0	90	0	90
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Cycling City																		
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Access York																		
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	1,055	0	0	3,500	0	0	0	0	0	6,784	0	6,784	0	6,784	0	6,784
Master Plans																		
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Leaman Road Flood Defences																		
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL GROSS EXPENDITURE	-632	-566	4,728	0	566	6,117	0	18,219	0	8,826	0	2,713	0	2,713	0	2,623	0	2,623
TOTAL EXTERNAL FUNDING	-1,264	-1,264	2,207	0	1,454	4,540	0	15,234	0	8,729	0	2,623	0	2,623	0	2,623	0	2,623
TOTAL INTERNAL FUNDING	632	-566	2,521	0	566	1,568	0	2,935	0	97	0	90	0	90	0	90	0	90
City Strategy (Admin Account)																		
Admin Account																		
- External Funding	0	0	97	0	0	11,366	0	14,906	0	1,468	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL GROSS EXPENDITURE	0	0	97	0	0	11,366	0	14,906	0	1,468	0	0	0	0	0	0	0	0
TOTAL EXTERNAL FUNDING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL INTERNAL FUNDING	0	0	97	0	-97	11,366	0	14,906	0	1,468	0	0	0	0	0	0	0	0
City Strategy (Community stadium)																		
Community Stadium																		
- External Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Small Business Workshops																		
- External Funding	0	0	0	0	0	58	0	58	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Visitor/Tourist Information Centre																		
- External Funding	0	0	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL GROSS EXPENDITURE	0	0	35	0	0	58	0	58	0	0	0	0	0	0	0	0	0	0
TOTAL EXTERNAL FUNDING	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL INTERNAL FUNDING	0	0	35	0	0	58	0	58	0	0	0	0	0	0	0	0	0	0
City Strategy - Property																		
Carbon Management																		
- External Funding	-33	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Property Key Components (H&S)																		
- External Funding	-110	-27	110	0	27	27	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Health & Safety / DDA																		
- External Funding	-30	-8	0	0	8	8	0	0	0	0	0	0	0	0	0	0	0	0
- Internal Funding	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

CAPITAL BUDGET - 2010/11 - 2015/16		2010/11	2010/11	2010/11	2010/11	2010/11	2011/12	2012/13	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2010/11	2015/16	
		Outturn	Outturn	Outturn	Outturn	Revised	Revised	Revised	Outturn	Outturn	Outturn	Outturn	Outturn	Outturn	Outturn	Outturn	Outturn	Outturn	Outturn	Outturn	Outturn	Revised	
		Adj	Slippage	Budget	Slippage	£000	£000	£000	Adj	Slippage	£000	Adj	Slippage	£000	Adj	Slippage	£000	Adj	Slippage	£000	Adj	Budget	
		£000	£000	£000	£000				£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	
Gross Expenditure by Department																							
ACE - Children's Services		-498	-6,373	17,774	0	6,373	15,128	5,583	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ACE - Social Services		-23	4	857	-7	1,636	722	483	0	0	0	0	0	0	0	0	0	0	0	0	0	0	525
CANS - Leisure and Culture		68	-1,636	1,403	12	6,218	6,218	866	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CANS - Neighbourhood Services		-8	-439	6,480	0	459	8,952	3,490	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CANS - Housing		-19	-469	3,004	0	469	11,641	9,411	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,747
City Strategy (Planning & Transport)		-632	-566	4,728	0	566	6,117	13,219	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,441
City Strategy (Admin Account)		0	97	10,746	0	-97	11,866	14,906	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
City Strategy (Community Stadium)		0	0	0	0	0	4,090	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
City Strategy (Economic Development)		0	0	0	0	0	1,658	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
City Strategy - Property		33	-236	1,061	0	236	1,920	2,147	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
CBS/S - IT equipment		-169	-83	1,362	0	83	1,133	750	0	0	0	0	0	0	0	0	0	0	0	0	0	0	750
Miscellaneous		28	0	0	0	0	300	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total by Department		-1,274	-9,721	53,931	5	9,721	67,750	54,887	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11,563



Effective Organisation & Overview Scrutiny Committee

20 July 2011

Report of the Assistant Director – Office of the Chief Executive

Year-end performance report for 2010-11

Summary

1. This report provides CMT & Cabinet members with a summary of the council's performance highlights for 2010-11. More detailed analysis and graphs is provided in Annex 1.

Background

2. This will be the last report produced that reviews progress on the national performance indicator suite. NPIs will no longer be part of the government's performance framework from 2011-12 onwards. As a result we will not be providing members with formal reports to committee and will instead produce a series of scorecards and briefing reports, which will be available via the Business Intelligence Hub. A presentation on the new arrangements will be delivered at the scrutiny committee meeting.

Improvement highlights

Performance indicator	2009 - 2010	2010 – 2011	+/- change	In Annex?
Local: Overall crime rate for York	7547	7564	+0.2%	Para 1
NPI 15: Number of serious violent crimes incidents	123	116	-5.7%	Para 2
NPI 47: People killed or seriously injured in road traffic accidents	60	60	0% but 7 less children KSI	Para 3
NPI 57: % of children and young people (aged 5-16) participating in at least 2 hours of high quality PE in school	87%	92%	+5%	Para 6
Library: Active Borrowers as a proportion of York Residents	20.2%	23.1%	+2.9%	No
NPI 55: % of reception year children recorded as being obese	6.67%	5.5%	-1.17%	No

NPI 64: % of child protection plans lasting 2 years or more	6.4%	3.9%	-2.5%	Para 11
Performance indicator	2009-10	2010-11	+/- change	In Annex?
NPI 65: % of children becoming the subject of a Child Protection Plan for a second or subsequent time	25.5%	9.2%	-16.3%	Para 11
NPI 73: % of pupils achieving Level 4 or above in both English & Maths at KS2	74%	78%	+4%	Para 13
NPI 79&80: % of young people who achieve a Level 2 or 3 qualification by the age of 19	L2:76.8% L3:53%	L2:82% L3:57%	L2:+5.2% L3:+4%	No
NPI 93&94: % of pupils progressing by 2 levels in English or Maths between KS1 and KS2	E:86% M:84%	E:88% M:87%	E:+2% M:+3%	Para 13
NPI 111: First time entrants to the Youth Justice System aged 10-17 (per 100,000 10-17 yr olds)	1598	846	-47%	Para 5
NPI 112: Under 18 conception rate for York	York:34.1 Y&H:47.3 Eng:40.4	York:26.6 Y&H:44.1 Eng:38.2	-22%	Para 9
VJ15a: York's unemployment rate - % below the regional rate	2.21%	2.59%	+0.38%	Para 25
NPI 117: % of 16-18 year olds who are NEET	4.3%	3.7%	0.6%	Para 26
NPI 125: % of older people achieving independence through rehabilitation/intermediate care	88.9%	97.1%	+8.2%	Para 16
NPI 136: People supported to live independently through social services (all ages)	3980	4328	+9%	Para 16
NPI 155: Number of affordable homes delivered in York	130	282	+117%	Para 18
NPI 158: % of non-decent council homes as proportion of council housing stock	2.97%	0%	-2.97%	Para 19
NPI 167: Congestion - average journey time per mile during the morning peak	3m 19s	3m 17s	-0m 2s	Para 20

Performance indicator	2009-10	2010-11	+/- change	In Annex?
NPI 168&169: Principle & non-principle roads where maintenance should be considered (lower is better)	P: 4% NP: 6%	P: 3% NP: 5%	<i>P:-1%</i> <i>NP:-1%</i>	No
NPI 177: Bus passenger journeys per year	14.7m	15.3m	+0.6m	Para 20
LTP9: Park & Ride annual usage	2.8m	2.83m	+0.3m	Para 20
NPI 181: Average time taken to process Housing & Council Tax benefit claims	13.72 days	10 days	-3.72 days	Para 31
NPI 186: Per Capita CO2 emissions in the LA area	-13% reduction between 2005&08			No
NPI 191 Residual household waste collected per household (kgs)	614kg	582kg	-32kg	Para 24
NPI 192: Household waste recycled	43.3%	45.1%	-1.8%	Para 24
NPI 195: % of relevant land and highways that is assessed as having unacceptable levels of litter, detritus graffiti & fly-posting.	Lit:4.4% Det:7,5% Graf:2.1% Flyp:0.2%	Lit:4.4% Det:6.5% Graf:1% Flyp:0%	Lit:0% Det:-1% Graf:-1.1% Flyp:-0.2%	Para 23
BVPI 215: Average time taken to repair street lighting	0.26 days	0.16 days	-0.1 days	Para 23
Local: Number of visits to Museums & Art Galleries	464,495	641,160	+38%	Para 7
Local: Number of visits to swimming and sports centres	783,482	798,536	+1.8%	Para 8

Declining performance

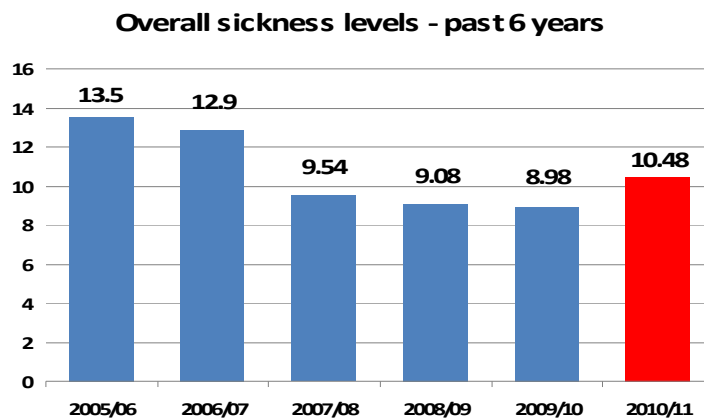
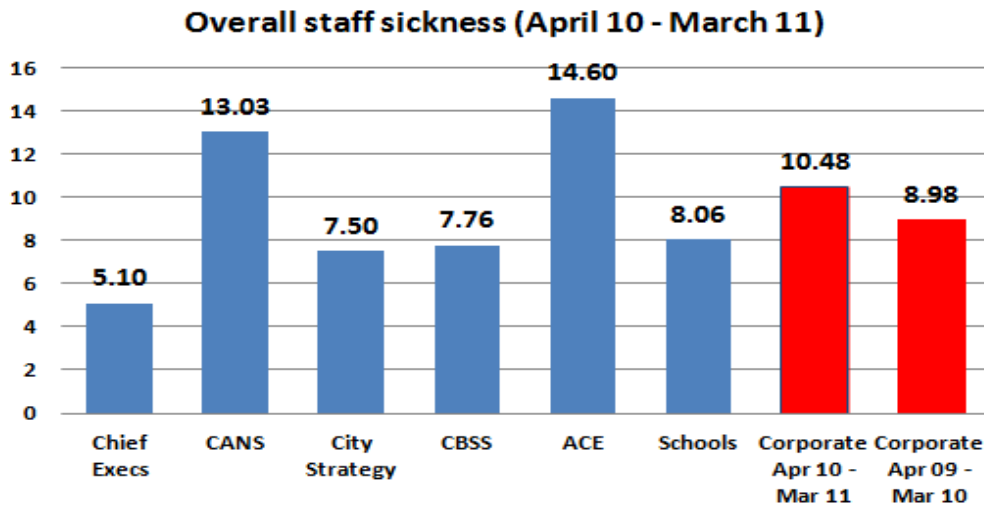
Performance indicator	2009-10	2010-11	+/- change	In Annex?
NPI 32: Repeat incidents of domestic violence	17.6%	18.2%	+0.6%	Para 4
NPI 157: Percentage of planning applications determined within government timescales	Maj: 81.8% Min:82.4% Oth:91.6%	Maj: 75.5% Min:78.7% Oth:89.4%	<i>Maj:-6.3%</i> <i>Min:-3.7%</i> <i>Oth:-2.2%</i>	Para 29
NPI 59: % of initial assessments of children's social care carried out within 10 working days of referral	82% (based on 7 days standard)	75.57% (based on 10 days standard)	-6.3%	No

Performance indicator	2009-10	2010-11	+/- change	In Annex?
NPI 60: % of core assessments of children's social care carried out within 35 working days (after the initial assessment has ended)	84.6%	81.1%	-3.5%	No
NPI 63: % of children in care for over 2.5 years and in one place for 2 years or more, or placed for adoption.	78.2%	70.7%	-7.5%	Para 12
NPI 102b: Difference in % points between pupils eligible for FSM and those who are not, achieving 5+A*-C (Inc E&M) at KS4	34.2%	41.6%	+7.4%	Para 14
NPI 132: % of social care assessments completed within timescale	80.5%	68%	-12.5%	No
NPI 196: Fly-tipping Performance	2 (effective)	3 (not effective)	N/A	No
BVPI 106: % of new homes built on previously developed land	95.9%	86.9%	-9%	Para 33
RM 5: % of primary schools oversubscribed by 5% or more (@ January school census)	13%	26%	+13%	Para 18
Local: % of highways inspections completed within 4 working days	99.2%	92.1%	-7.1%	Para 22
Local: % of emergency repairs carried out within 24 hours of notifying maintenance gangs	97.5%	89.4%	-8.1%	Para 22

Staff Sickness

- The average days sickness absence taken per FTE in 2010-11 was 10.48 days. This is 1.5 days higher than last year, but still well below that experienced between 2005 and 2007. Long-term sickness levels were much higher than 2009-10 (62% compared to 53%), with the highest levels occurring in CANS and Adult Social Care. Overall staff absence in schools is 0.6 days higher than the same period last year, and given that they represent 43% of all staff, any increase in sickness levels can affect the council-wide figure. The proportion of sick leave taken due to

stress/anxiety related illness has dropped slightly to 19.5% (from 20.7% in Q3).



Consultation

- There has been extensive consultation with directorates and the Cabinet on all areas of 2010/11 performance, as part of the planning process for a new Council Plan.

Corporate Strategy

- The information and issues included in this report helps demonstrate progress on achieving the priorities set out in the council's corporate strategy (2009-12).

Implications

- Human Resources - there are no specific human resource implications to this report, but it does contain important information on staff management and welfare.

7. Equalities - there are no specific equality implications to this report, however equalities issues are reviewed when analysing and reporting performance.
8. Crime and Disorder - there are no specific crime and disorder implications to this report, but it does provide performance information about community safety, which could inform future resource allocation.
9. There are no Financial, ITT, Legal, Property or Other implications to this report.

Recommendations

10. Members are asked to Note the performance issues identified in this report.

Reason: In case further scrutiny is required to support directorates in taking corrective action on particular performance issues.

Author:

Peter Lowe
Business Intelligence Officer
Ext 2033

Chief Officer responsible for report:

Tracey Carter
Assistant Director – OCEX

Report Approved



Date July 2011

Specialist Implications Officer(s) - None

Wards Affected: None

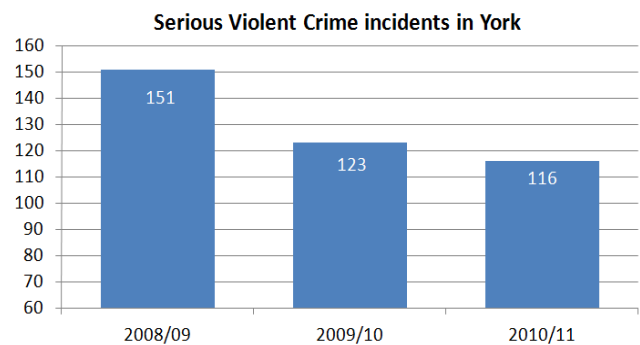
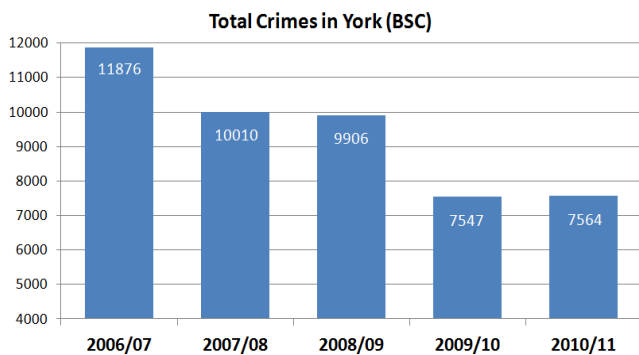
All

For further information please contact the author of the report

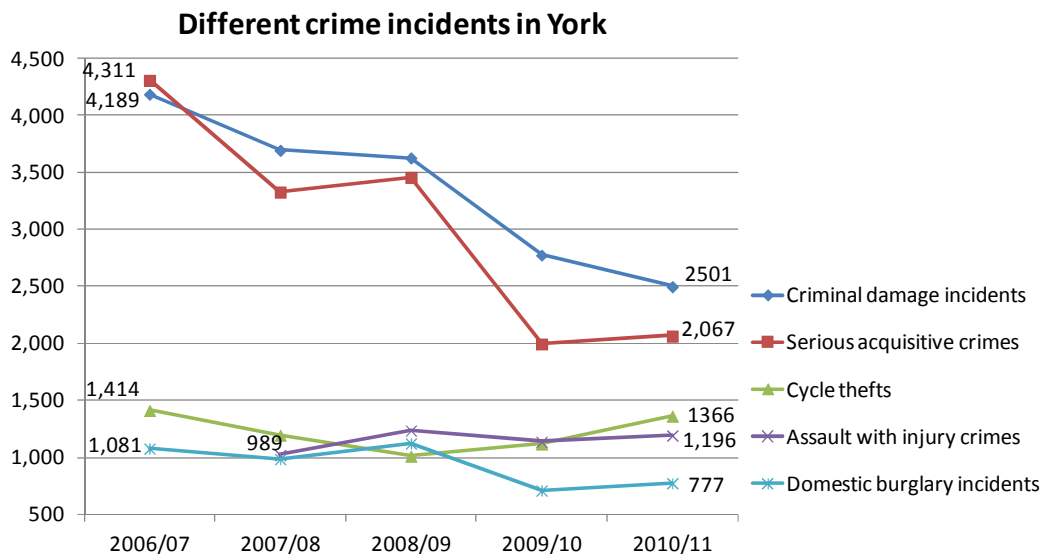
Graphs & more detailed analysis of 2010-11 performance exceptions

Community Safety

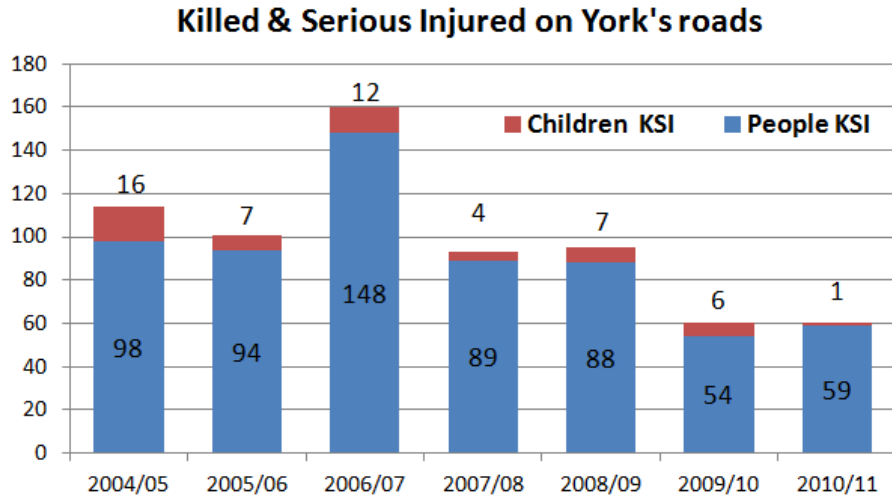
1. *A safer city* - The number of overall crimes recorded in York was similar that that reported in 2009-10. This is an excellent achievement given that York has already experienced a 24% reduction in 2009-10, after significant reduction for the previous 4 years and demonstrates sustained improvement. If we exclude the rise in cycle thefts, the overall crime rate for York would actually reduce by 3%.



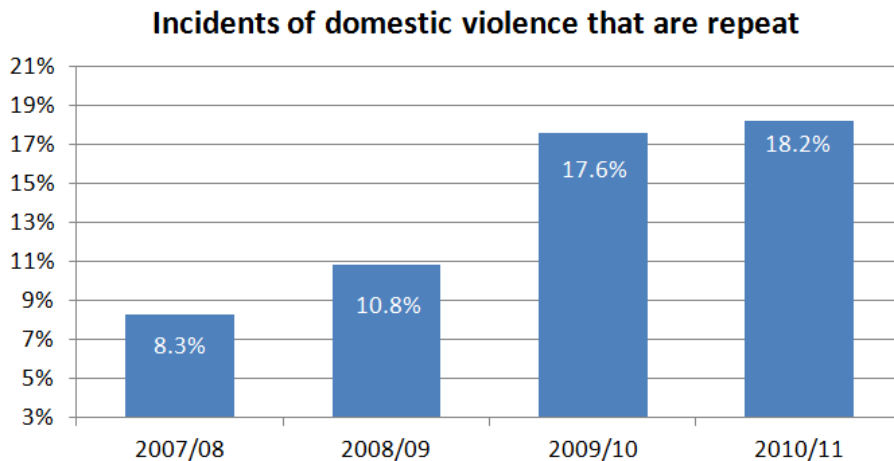
2. *Crime incidents* - The number of 'serious acquisitive crimes' reported in York increased slightly to 2067 incidents, but represents a sustained improvement trend, as levels are still 40% lower than 2008-09. This indicator represents a number of different crime incidents, including domestic burglary and theft from a vehicle. The number of serious violent crimes is also reduced by nearly 6%, building on the 18% reduction achieved last year. Unfortunately, 'assault with injury' crime rates have increased this year, but despite the rise, incident levels remain below 2008-09 levels.



3. **Road safety** - A range of successful initiatives introduced last year, such as the 'Made You Look' campaign and the 'Safer Business Driving Conference' have resulted in a sustained reduction in the number of people killed and seriously injured on York's roads. In 2010-11, there were 60 incidents, with just one child KSI recorded. This represents a 70% reduction since 2006-07.



4. **Domestic violence** - The number of repeat domestic violence incidents in York continues to increase at 18.2% and had more than doubled since 2007-08.

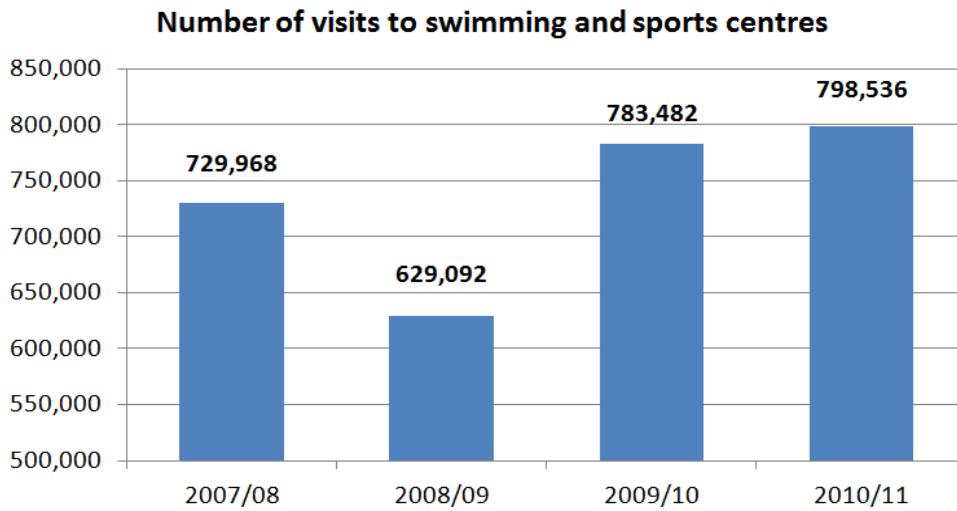
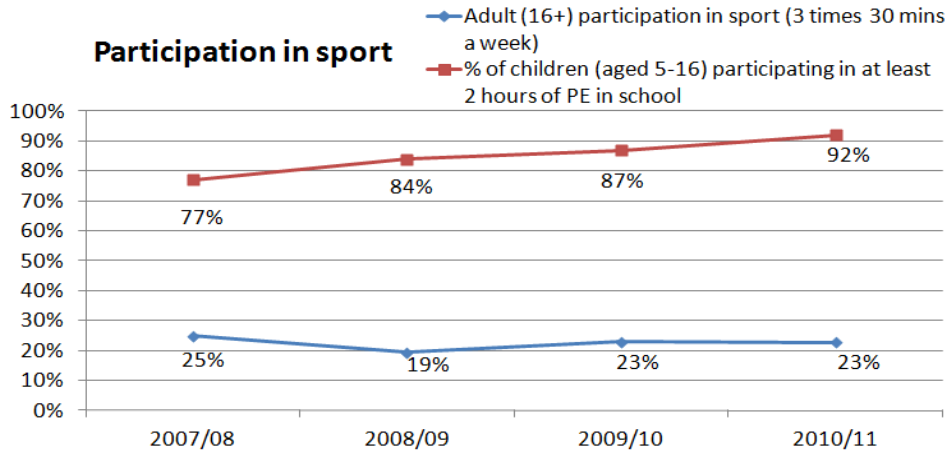


5. **Youth Offending** - There has been a 47% reduction in the proportion of first time entrants to the Youth Justice System, aged 10-17 years. This decline is complimented by the continuing low trend of 10-17 year olds convicted in court and receiving a custodial sentence (2.5% in 2010-11, which is well below the national average).

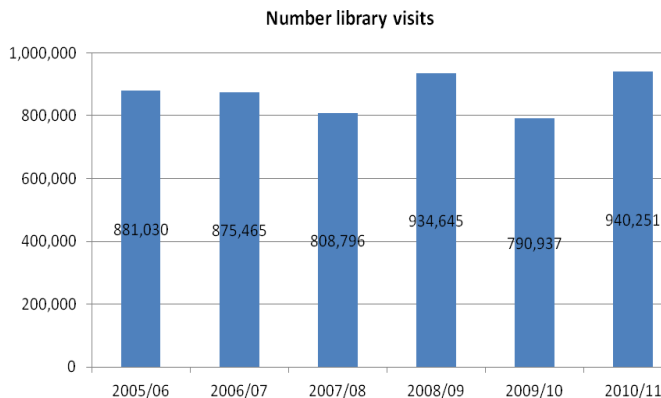
Culture

6. **Regular exercise** - The number of children participating in at least 2 hours of high quality PE each week increased again in 2010 to 92% and represents a significant improvement since 2007, when nearly 25% of children were not getting regular exercise. York is now one of the top performing councils in the England for this indicator and is ranked 17th out of 132 councils. The

number of adults exercising for 30 mins, 3 times a week remains unchanged at 23%, but the number of visits to sports centres and swimming baths increased and is now 27% higher than 2 years ago.



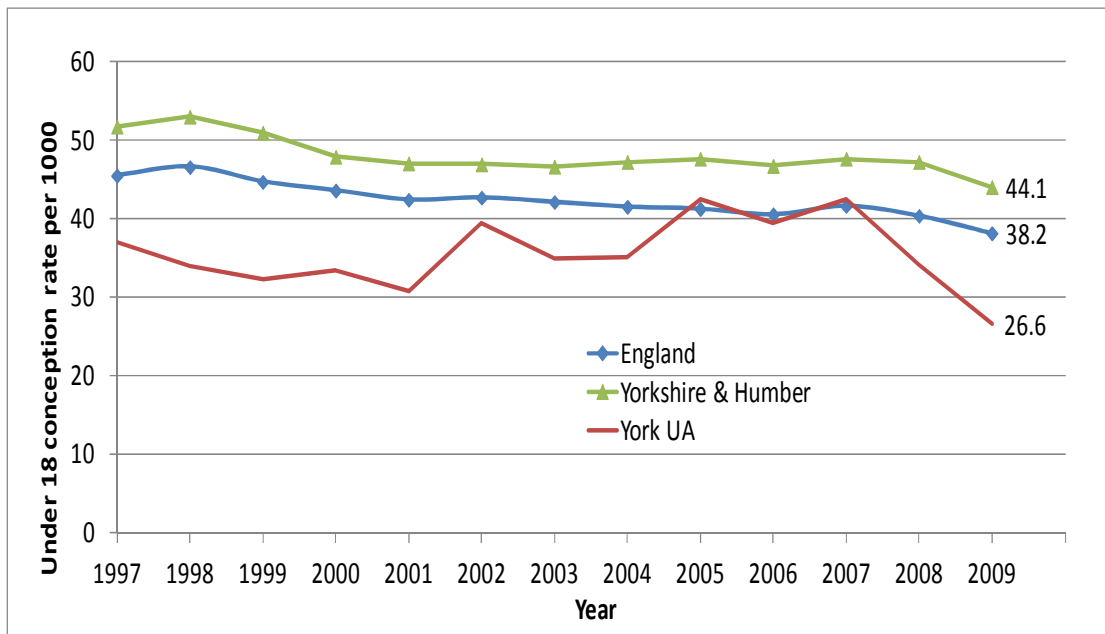
7. **Libraries** - The number of visitors to libraries in the city has increased by 19% to 940,251. This increases the percentage of York residents as active borrowers of libraries to 23% compared to 20% last year. Although visitor numbers for York Explore are lower than hoped at 435,518, the reopening of the centre in May last year after undergoing refurbishment may have influenced the increase in library visitor numbers overall.



8. *Galleries & Museums* – Visits to York’s galleries and museums have increased significantly by 38% this year. This is mainly due to the refurbishment of the Yorkshire Museum and an increase in visitors to galleries following the success of a number of exhibitions.

Healthy children

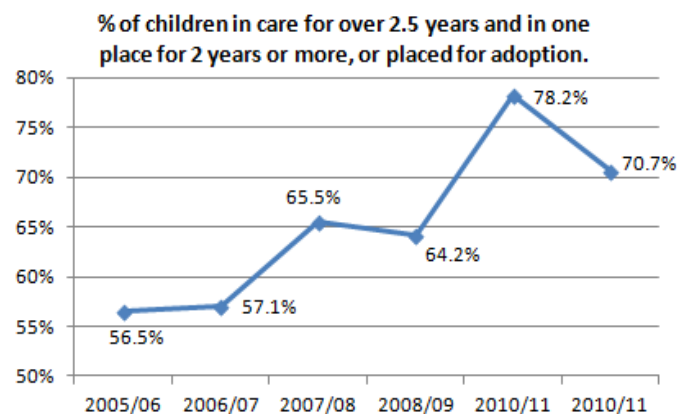
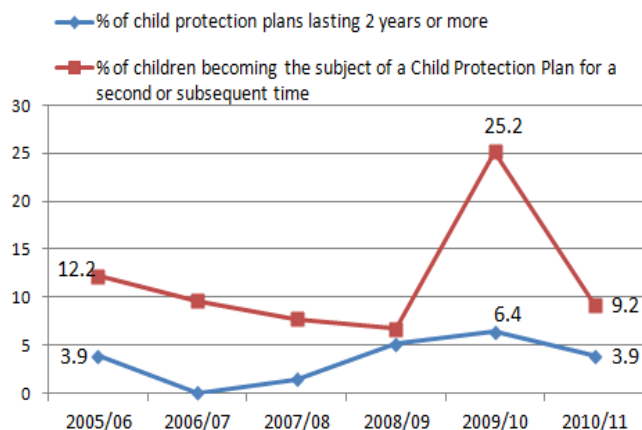
9. *Teenage Pregnancies* - Rates in York have fallen for nine successive quarters and is now showing the lowest rate since 1998 - at 26.6 per thousand females aged 15-17. This also means that York has one of the lowest teenage conception rates of any other unitary authority, a drop of 37.6% since 2007.



10. *Obesity* - There has also been a sustained reduction in recorded obesity levels among York’s children aged 4-5 years old. Currently, York has only 5.5% obese children in this age group, showing a steady decline from 8.4% in 2007-08 and is the best result across all other local authorities in England. York also has half the proportion of 10 and 11 year olds recorded as obese compared with 14% in some other local authorities. These positive changes reflect the fact that 92% of schools are providing at least 2 hours of sport each a week, as well as recent healthy eating initiatives introduced across many schools.

Child Social Care

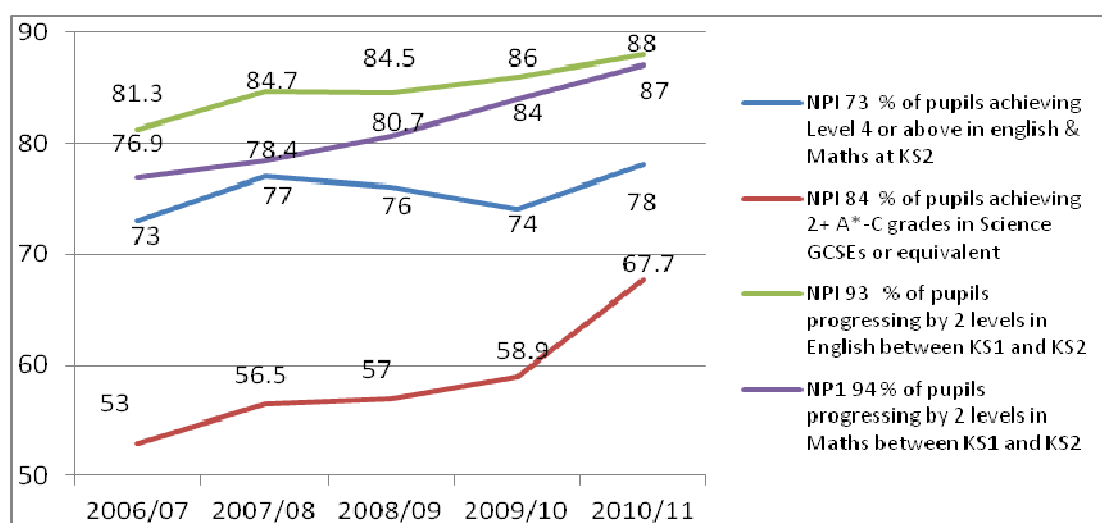
11. *Child protection plans* - CPPs lasting 2 or more years have reported an encouraging reduction from the increase seen in 2009-10. Even more encouraging is the significant reduction in children becoming the subject of a CPP for a second or subsequent time, from 25.2% in 2009-10 to 9.2% in 2010-11 - see graph on left (lower is better).



12. There has also been a 7.5% decrease in children living in care for over 2.5 years and being in one place for 2 years or more (or adopted). However, this measure still maintains good performance showing a steady increase from five years ago - see graph above on right. There has also been a significant improvement in time taken to complete reviews for looked after children from 83.9% in 2009-10 to 94% in 2010-11.

Educational attainment

13. *Attainment:* There has been good improvement across a number of school attainment results, most notably with pupils achieving 2 or more A*-C grades in Science GCSEs, which has increased by nearly 9%. Pupils progressing 2 levels in English & Maths between KS1 & KS2 have also made stepped improvements (88% and 87% respectively), placing York as one of the top performing authorities nationally. Pupils achieving a level 4+ in English and Maths at KS2 improved by 4%, reversing a downward trend from the previous 2 years, placing York 15th in England and 5 percentage points over the national average.



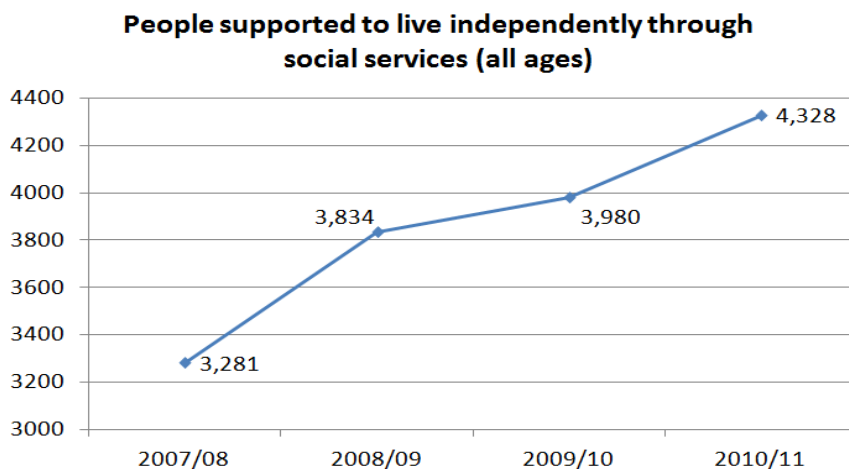
14. *Attainment gap* - As a result of more 1 to 1 tuition, there has been a positive reduction in the variance between children eligible for free school meals and those who are not, achieving Level 4 in English and Maths at KS2. In 2010-11 this gap was 23%, an encouraging reduction from 35% in 2009.

However, at KS4 the picture is less encouraging, with an 41.6% difference between those eligible for FSM and those who are not, achieving 5 or more A*-C grades (including English and Maths) at KS4.

15. *School capacity* - The number of secondary schools that are over subscribed by 5% or more has dropped from 40% to 10%. Conversely, the number of primary schools that are over-subscribed by 5% or more have seen an increase this year, from 13% to 26%.

Adult Social Care

16. *Independent living* - The roll out of personalisation care and direct payments has continued in York and now all customers, irrespective of their customer group, are able to access self directed support. There has been a significant increase in the number of clients who have been helped to live independently as a result of receiving a personal budget or self directed support, (up from 14.4% in 2009-10 to 24.9% in 2010-11), although this still remains beneath its LAA target level of 30.5%. The success of the roll out is reflected in the increase in numbers who are supported to live independently, which has risen to 4328 this year. This represents a 32% rise since 2007-08. It should be noted however, that this increase means that more clients are entitled to personalised budgets, which means budget increases are required to support independent living in York.



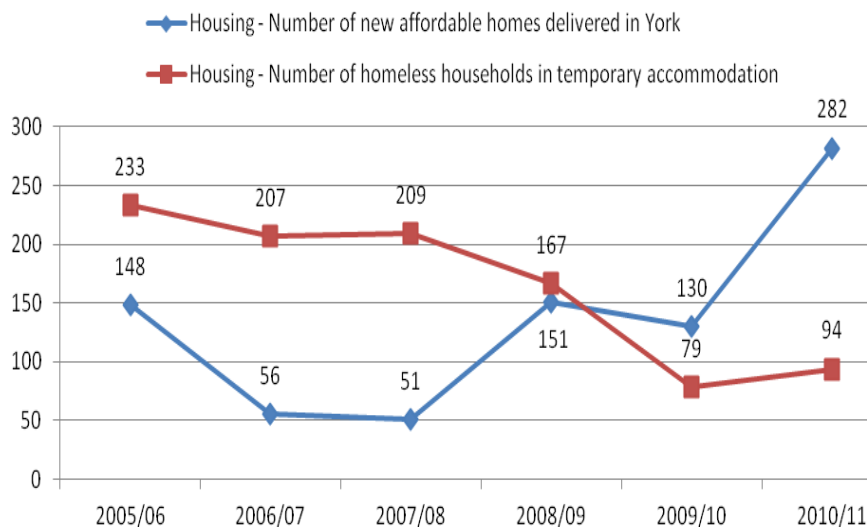
17. *Satisfaction with ASC* - Adult Social Care customers are generally happy with the service with 78.63% positively rating the service in terms of supporting their own quality of life. This marks an increase of 4.7% from 2009-10.

Housing

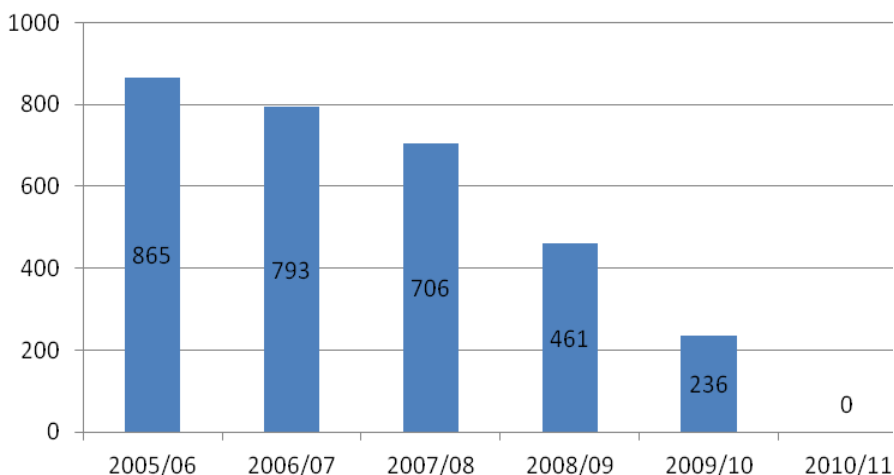
18. *Affordable homes* - The number of affordable homes delivered in York at the end of 2010-11 was 282, exceeding the LAA target of 252 homes. This is a considerable achievement against the backdrop of a very challenging housing market and economic downturn. Further delays on the Discus project, caused principally by the winter weather, delayed the programme of

completions at Discus sites. However this has been more than offset by additional affordable homes acquired that were not forecast.

19. *Homelessness* - There has been a slight increase in the number of households living in temporary accommodation, from 79 last year to 94. Although the LAA target of 75 has not been achieved due to the current tough economic climate, the number is still far below the number of homeless households recorded in previous years. The year has been particularly busy, and this combined with a lack of opportunities for move-on into permanent accommodation, has contributed to the increase in number in temporary accommodation. These improvements have been achieved alongside other major achievements in housing, including meeting the 100% decent homes standard for the council's housing stock.



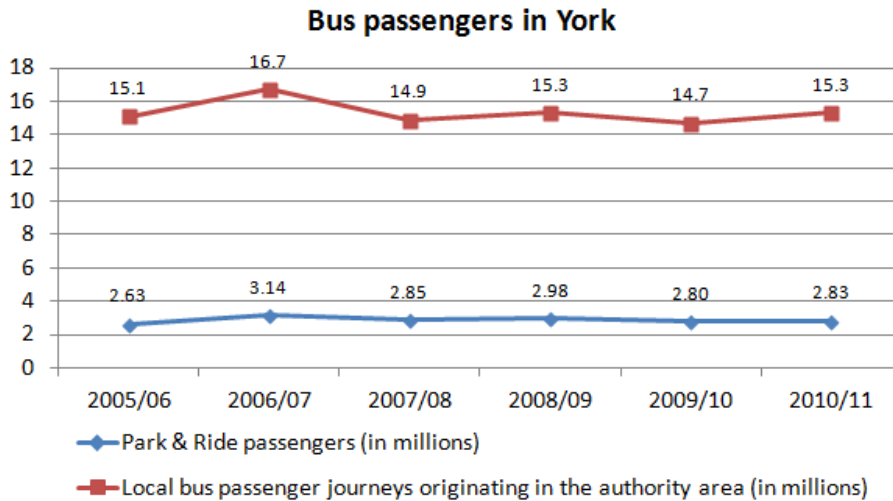
Housing - Number of non-decent homes in York



Transport

20. *Bus usage & congestion* - Bus passenger journeys and Park & Ride usage has increased slightly compared to last year, with 15.3 million bus passengers and 2.83 million Park & Ride users. Average vehicle journey time (per mile during the morning peak) also continues to improve at 3

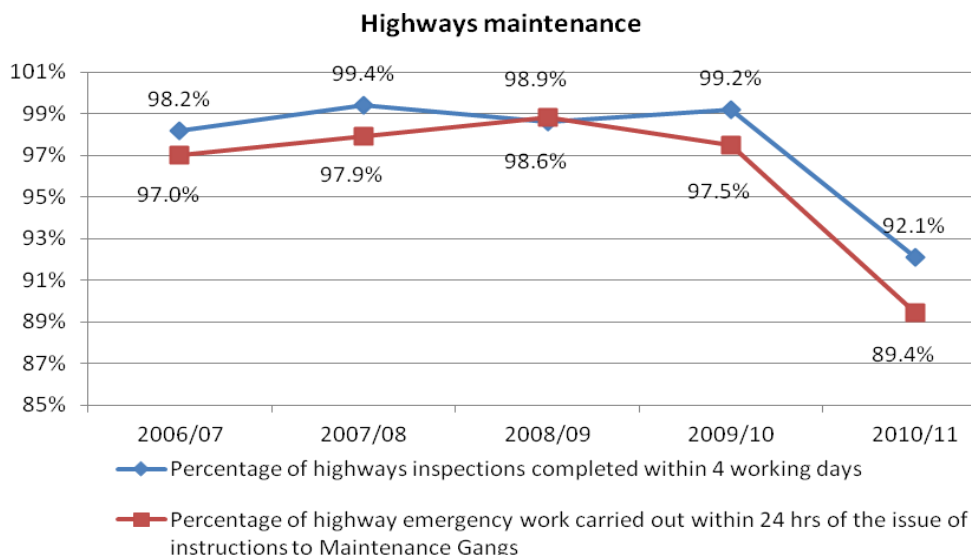
minutes 17 secs compared to 3 minutes 19 secs last year. Measures to increase the use of public transport in the city include York's second 'Car Free Day' which took place in September when two of the city's major bus operators offered free day passes on their services (First/Park & Ride and Transdev/Coastliner). The council is also gradually rolling the 'Yournextbus' initiative, providing SMS text, real time bus information to mobile phone users.



21. Bus punctuality - Latest data for bus punctuality and waiting times places York in the bottom quartile for both. York is the lowest ranking authority for punctuality of bus services based on PwC benchmarking data.

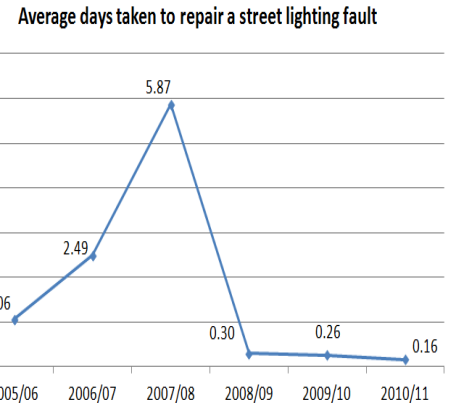
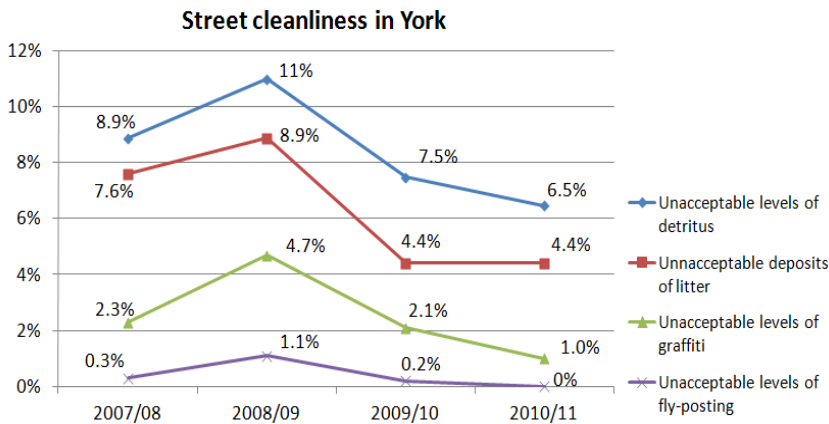
Highways maintenance

22. *Road condition* - The percentage of highways inspections completed within four days has declined from 99.2% last year to 92.1%. There has also been a decrease in the percentage of highway emergency work carried out within 24 hours, with 89.4% this year compared to 97.5% last year. The introduction of mobile handheld devices for Highways Maintenance Services should help improve performance in 2011-12, as inspectors become more reactive with public enquiry reports are sent straight to the mobile. These should also improve reaction times for emergency jobs.

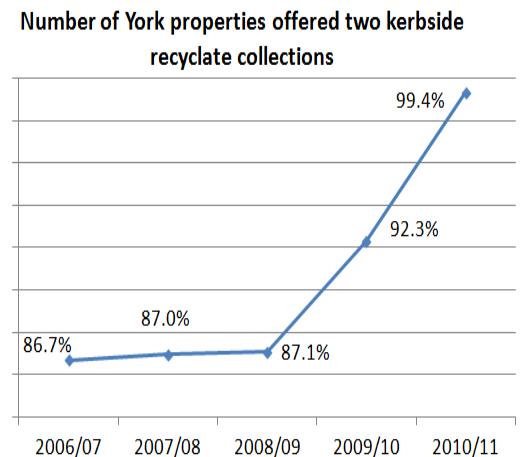
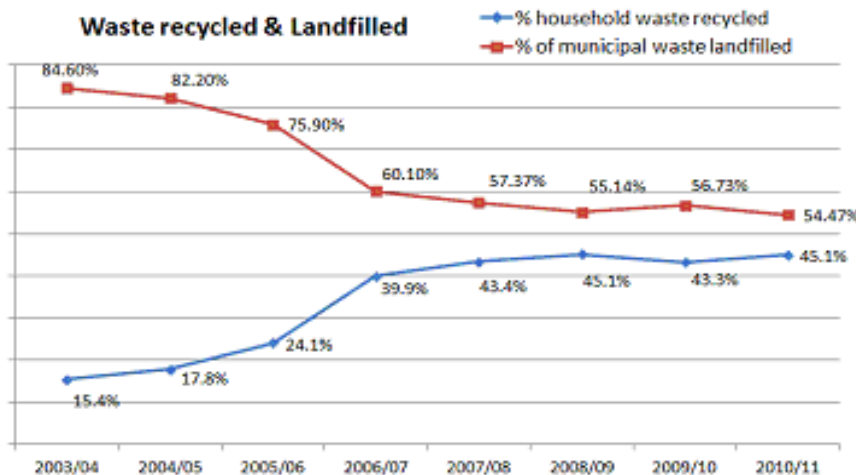


Street environment

23. *Street cleanliness* in York has improved significantly since 2008-09 and the council is now one of the top performing authorities. Levels of litter, graffiti and fly-posting continuing to decline after the major improvements made last year. Levels of graffiti in particular are now very low and fly-posting recorded 0% in all 3 surveys. The average time taken to repair street lights has also reduced to 0.16 days, from nearly 5.9 days in 2007-08 – an important area of performance that supports community safety across the city.

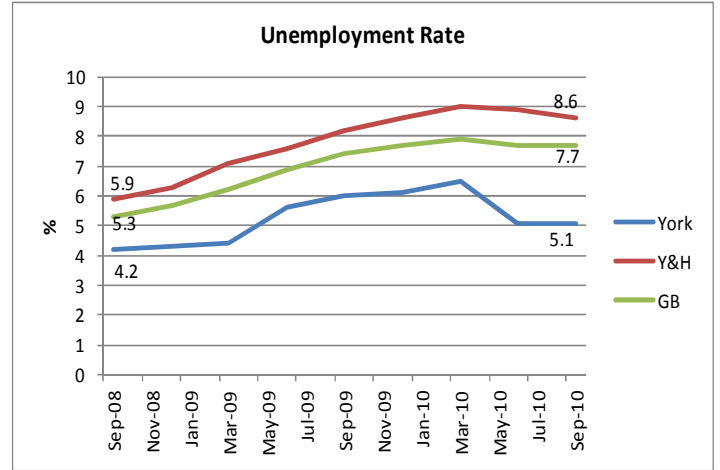
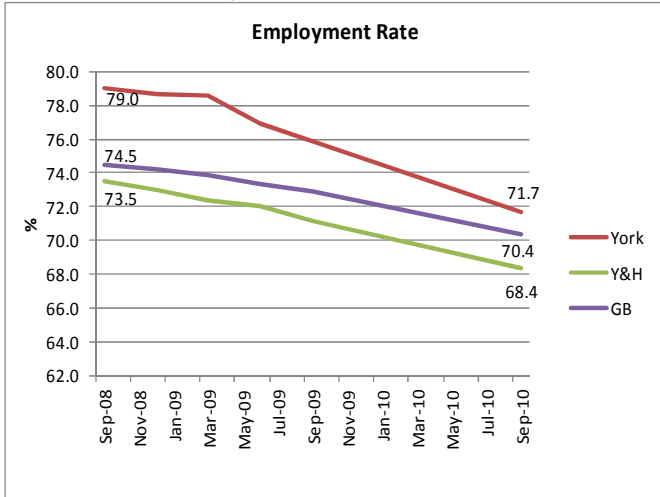


24. *Waste management* - Waste recycling levels increased to 45.1%, after a small decrease in 2009-10. Much of this was achieved by increasing the number of households who receive 2 kerbside recyclates to 99.4% of York households. Despite the reduction in waste going to landfill, the council continues to pay higher levels of landfill tax each year because of high annual tax increases per tonne (currently £48 per tonne and scheduled to increase by £8 each year until rate reaches £80 per tonne). Landfill tax rate has more than trebled since 2004/05 and an alternative to landfill is needed to meet statutory targets and to reduce financial burden of paying Landfill Tax (Waste PFI). The amount of waste collected per household continues to reduce with 582kgs collected per household in 2010-11 (a 12% decrease since 2008). See graphs on next page.

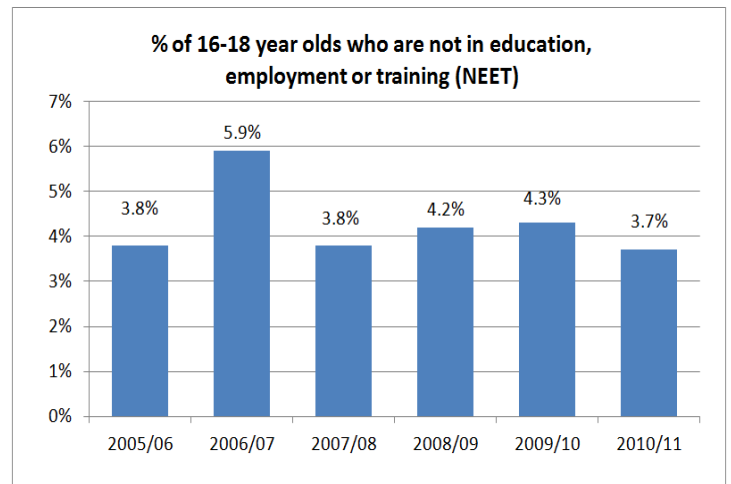
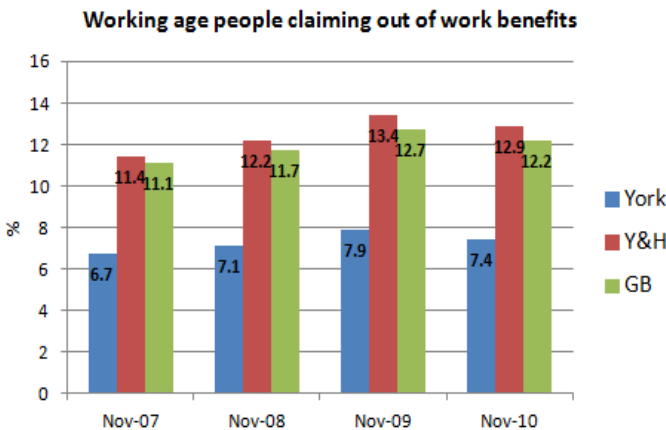


Economy

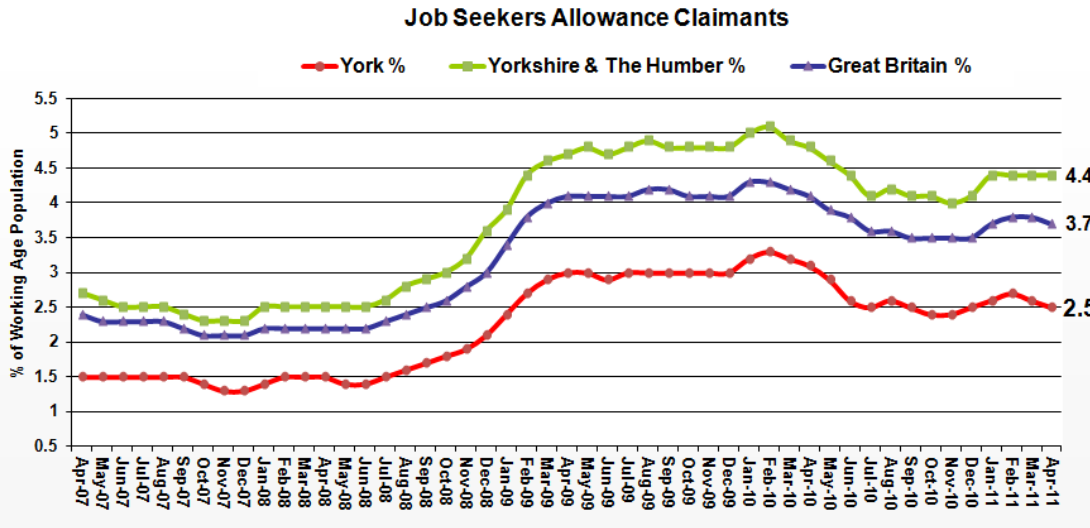
25. *Unemployment* - York's overall employment rate is 71.7% compared to 68.4% in the region and 70.4% nationally. York's unemployment rate is 2.59% below the regional average and 1.55% below the national average. The latest figures available show that the % of people on out of work benefits fell to 7.6% (Apr – Sept 2010) compared to 8.4% for the same period last year.



26. *NEETs* - The number of 16-18 year olds not in education, employment or training dropped to 3.7% in 2010-11. Progress reflects the efforts of partners to develop new and innovative provision in line with the Children and Young People's Plan. Colleagues from Connexions have also been proactive in working with providers on behalf of young people with specific needs and in providing information, advice, guidance and support for young people including some of the most vulnerable young people in York.



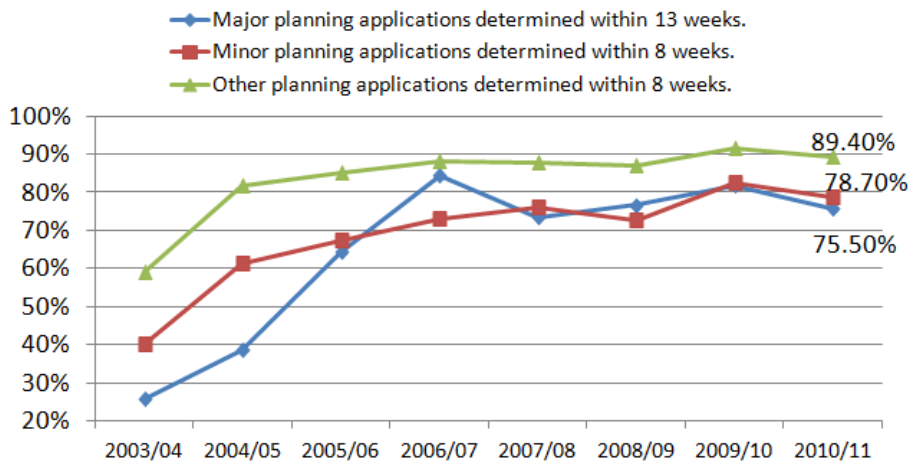
27. *JSA claimants* - The number of people claiming Job Seekers Allowance in York has reduced since February and continues to be well below the Yorkshire and Humber regional and the UK average. The April 2011 total is 3442 (2.5% of the working age population) - a reduction of 134 from March. The regional figure is 4.4% and the National is 3.7%.



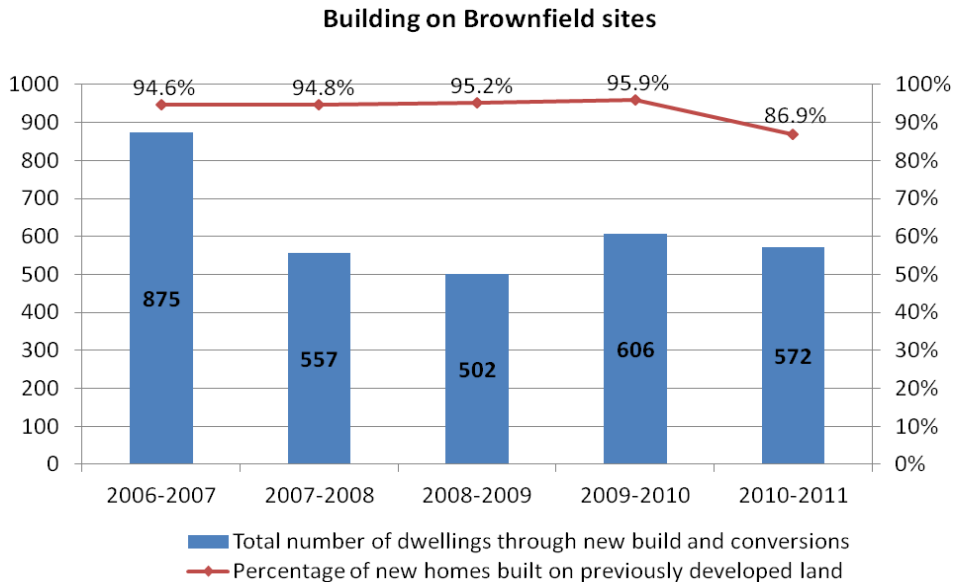
28. **Businesses** - The latest data available for new business start ups in York (Jan – Dec 2009) shows a decline of 22% (currently 570), compared to 730 for Jan – Dec 2008. The number of businesses ceasing to trade increased by 17% (650 from Jan – Dec 2009) compared to 555 in 2008. To counteract this decline the council’s ‘One City’ project is working on initiatives including The Business Forum which has already engaged 126 businesses and the Enterprise Fund, which to date has supported 15 businesses on a ‘match for match’ start-up funding basis. Businesses supported so far range from mobile catering to computing. In addition, the Eco Business Centre is almost fully occupied (58 of 60 units) and now provides a network of support.

Planning & Development

29. **Processing planning applications** - The number of minor planning applications increased by 4% in 2010-11 whilst the number of major planning applications has increased significantly from 22 in 2009-10 to 49 in 2010-11. The number of minor applications determined within 8 weeks stands at 78.7% for 2010-11 (a 3.7% decrease on last year). The number of major applications determined within 13 weeks has reduced (75.5% compared to 81.82% in the same period for 2009-10). This equates to 12 out of the 49 applications failing to meet the 13 week timescale.

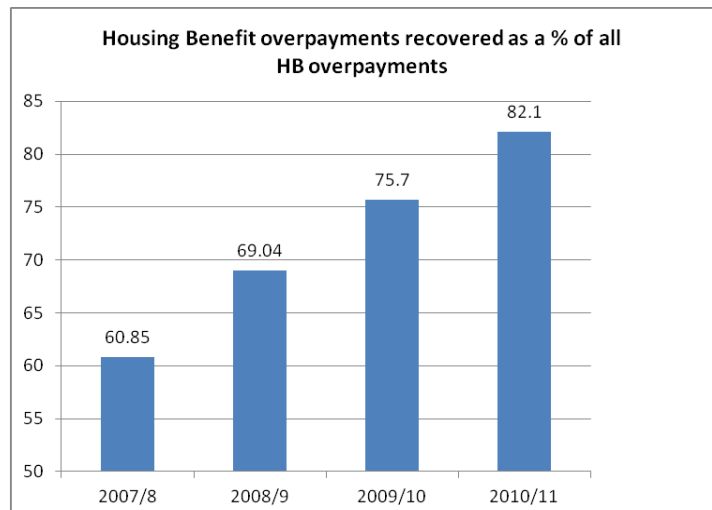
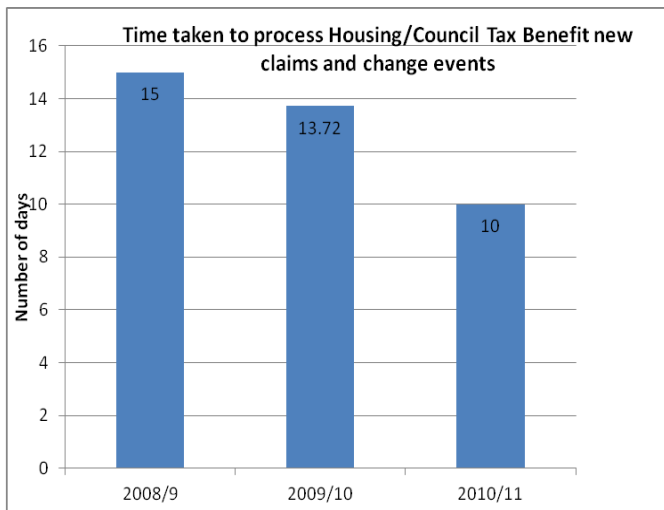


30. *Brownfield site development* - In 2010-11, 87% of new homes were built on previously developed land (437 out of 572). This is a decline of 9% on the previous year (95.9%, 581 out of 606 new homes) – see graph on next page. Around a two-fifths of those who responded to the LDF public consultation (June 2009) supported the proposal to identify land for employment on the draft Green Belt (39% agreed, 61% disagree).



Benefits processing & Council Tax collection

31. *Benefits* - Performance in processing housing & council tax benefits continues to improve, despite the number of benefits cases increasing by 2.92% (10 days in 2010-11 compared to 13.72 days in 2009-10). The amount of Housing Benefit overpayments recovered as a percentage of all HB overpayments also continues to improve year on year.



32. *Council Tax* - The in year Council Tax collection rate 2010-11 was 97.9% which is the highest rate achieved in CYC to date. In real terms this saw income from council tax rise from £79.05m in 2009-10 to £81.10m in 10-11 .

Taking into account the increased council tax charges for 2010-11, the net additional income through improved collection is approx £320K. In addition to this a further £1.1m of prior year council tax was also collected during 2010-11. The collection rate for NNDR remained constant at 98.3% with nearly £83m been collected in 2010-11.

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Effective Organisation

20 July 2011

Report of the Director of Customer and Business Support Services.**Financial Outturn 2010-11****Purpose**

1. The purpose of this report is to provide details of the headline financial performance issues for 2010-11, covering the period 1 April 2010 to 31 March 2011. The report assesses performance against budgets, outlines requests for carry forwards and provides a position statement on the council's revenue reserves.
 - **Summary**
 - The council's provisional outturn position is a £369k underspend, with requests for carry forwards of unspent budgets totalling £168k reducing this position to a potential final underspend of £201k.
 - This position, an improvement of £2,037k from the £1,668k of pressures reported at Monitor 3, is testament to the council's effective financial management, the implementation of the savings programme and the continued hard work of directorates in controlling costs.

Finance Overview

2. The General Fund budget for 2010-11 was £118,166k, with utilisation of balances and reserves reducing the call on Council Tax to £117,338k. The council's provisional outturn position is a £369k underspend, with requests for carry forwards of unspent budgets totalling £168k reducing this position to a potential final underspend of £201k.
3. The final position represents an improvement of £2,037k (£986k at directorate level) since Monitor 3. This improvement is primarily as a result of continued stringent cost control methods, and internal management reporting has tracked the impact of this positive action since the figures for the Monitor 3 report were finalised in early January.

4. As highlighted throughout the year, and despite significant investment in the 2010-11 budget, demand for adult social care services and the effects of the economic downturn have continued to cause financial pressures. These pressures were compounded by £2,287k of in year grant cuts from Central Government following the June 2010 Emergency Budget.
5. As the need for improvement was recognised at an early stage, and due to clear commitment in narrowing the potential overspend, directorates have worked incredibly hard to contain expenditure and coupled with the council's improved financial management the year-end position is testament to these efforts, without which it is clear there would have been a major overspend.
6. An overview of the outturn position is summarised on a directorate by directorate basis in Table 1 below. The main movements from the Monitor 3 report are:
- City Strategy – an improvement of £379k which is primarily due to an improvement in concessionary fares and additional engineering fees.
 - Communities & Neighbourhoods – an improvement of £514k due to a number of cost reduction strategies across the directorate.
 - Corporate Budgets – a significant improvement of £1,051k, which is a result of the provision for 16/17 year old care legislation made in the 2010-11 budget remaining unallocated, a further tranche of VAT refunds and the early receipt of the latest YPO dividend.

Directorate	2010-11 Net Budget	Mon 3 Variance	Outturn Variance	Movement
	£'000	£'000	£'000	£'000
Adults, Children & Education	91,729	+1,647	+1,579	-68
City Strategy	7,257	+588	+209	-379
Communities & Neighbourhoods	41,655	+569	+55	-514
Customer & Business Support Services	5,373	-169	-241	-72
Office of the Chief Executive	3,035	-23	+24	+47
DIRECTORATE BUDGETS	149,049	+2,612	+1,626	-986
Asset Rental Adjustments	-32,089	-	-	-
Corporate Budgets	1,206	-944	-1,995	-1,051
GROSS BUDGET	118,166	+1,668	-369	-2,037

Table 1: Outturn Position.

7. A strategy was agreed as part of the Monitor 2 report that allocated revised variance targets for directorates that would see the council's overall expenditure outturn on budget. This strategy incorporating directorate expenditure controls and effective financial management has yielded a £3,441k improvement from that report. Whilst not all targets were met, other gains were made elsewhere which have ultimately contributed to the reported underspend. Final progress against these targets is outlined in Table 2 below.

Directorate	2010-11 Net Budget	10-11 Mon 2 Variance	Outturn Variance	10-11 Target Variance	Variation to Target
	£'000	£'000	£'000	£'000	£'000
Adults, Children & Education	91,729	+2,310	+1,579	+1,010	+569
City Strategy	7,257	+940	+209	+190	+19
Communities & Neighbourhoods	41,655	+410	+55	+10	+45
Customer & Business Support Services	5,373	-107	-241	-207	-34
Office of the Chief Executive	3,035	+13	+24	-37	+61
DIRECTORATE BUDGETS	149,049	+3,566	+1,626	+966	+660
Asset Rental Adjustments	-32,089	-	-	-	-
Corporate Budgets	1,206	-494	-1,995	-894	-1,101
GROSS BUDGET	118,166	3,072	-369	72	-441

Table 2: Progress Against Target Variances.

8. Whilst the year-end position is positive and testament to the hard work of teams across the council, there remains considerable financial concerns looking ahead into 2011-12 and beyond. The 2011-12 budget contains approximately £21m of savings comprising efficiencies, service reductions and grant cuts imposed by Central Government. This represents a very challenging agenda, one not faced by the council before, and every single proposal will have to deliver or the financial position this time next year will not be as favourable. Any future overspends will have a negative impact on the council's reserves and subsequently reduce flexibility when it comes to budget setting. It is therefore important that focus now moves to 2011-12 and the practices of stringent cost control and new and effective ways of working continue to embed themselves to ensure the continued financial health of the organisation

Directorate Financial Performance

9. The following sections provide further information on the financial outturn of each directorate as outlined in Table 1 (paragraph 6).

Adults, Children & Education

10. Adults, Children & Education are reporting a £1,579k overspend, an improvement of £68k from the £1,647k pressure reported at Monitor 3. Performance against the total £1,781k More for York ACE savings target is included in this figure, representing £1,148k of the net overspend.
11. Elsewhere in the directorate a £1,167k overspend is being reported in Adult Social Services, a deterioration of £416k from Monitor 3. This deterioration is mainly due to the unexpected write off of £283k of outstanding customer debts at the year end and a small number of additional Learning Disability placements that were notified late in the year.
12. The remaining Adult Social Services overspend is due to increasing demand, above the approved budget, which has continued to be an issue in 2010-11. The main contributory factors include the fact that more people have opted to take Direct Payments than anticipated and the numbers are likely to increase as the personalisation of services is rolled out further resulting in an overspend on this budget of £1,194k. A greater than anticipated number of referrals for Independent Residential and Nursing Care has caused an overspend of £491k. A reduction in the level of income generated in Elderly Persons Homes, as well as unbudgeted costs from the Pay and Grading process represents an overspend of £292k in this area.
13. Children & Young People's budgets have shown a marked improvement with an underspend of £735k being reported, £568k more favourable than at Monitor 3. This is derived from a number of areas, the most significant being an underspend on the Fostering of Looked After Children of £234k, due to a reduction in the number of children placed in Independent Fostering Agencies (IFA) placements which are more expensive than placements with local foster carers, as well as an underspend of £235k within the Education Development Service which is due to a number of posts being held vacant in anticipation of further savings being required in 2011-12, and the redirection of grant income to cover base budget expenditure.
14. In addition the directorate received in-year government grant cuts totalling £1,832k, against which savings have been made of £1,467k. Difficult decisions have had to be taken in order to reduce expenditure on a wide range of community based schemes within the Early Intervention Fund as well as the Youth Volunteering Project. The remaining £365k, related to Area Based Grant, has been reported as a corporate pressure.

City Strategy

15. City Strategy is reporting an overspend of £209k, which is an improvement of £379k from the Monitor 3 report. This position is inclusive of £107k of redundancy costs following a review of the directorate's services and £256k of More for York savings, related to facilities management and administrative efficiencies, that have not been achieved.
16. The directorate's income generating services have faced continued pressures resulting from the economic downturn. Shortfalls in income from parking (£291k), building control (£259k), development control (£159k) and commercial property (£138k), as well as the fact that no Yorwaste dividend has been received (£430k) have all contributed to the underlying overspend.
17. However the position has been mitigated by a number of factors. Management actions including a vacancy freeze and other operational budget savings have helped significantly reduce the overspend. Concessionary fares has underspent by £335k following a fixed price deal being agreed with First. It should also be noted that Members allocated the directorate £400k from the General Contingency at Monitor 2 in order to support budgets that were facing economic downturn pressures.

Communities & Neighbourhoods

18. Communities & Neighbourhoods is reporting a slight overspend of £55k, which represents a significant improvement of £514k from the £569k pressure reported at Monitor 3.
19. In Housing and Public Protection, a £296k overspend on building maintenance, due to a number of factors including unavoidable staff costs, and a £159k overspend on Travellers Sites, due to increased utility and repairs costs, has been offset by underspends on temporary accommodation costs and staffing savings across the service area, resulting in an overall overspend of £26k for the service plan.
20. In Environment, a £290k net overspend on commercial waste, due income shortfalls, and a £250k overspend on winter maintenance budgets following the severe weather in November and December has been offset by a £125k underspend on street light electricity costs, resulting in an overall overspend of £470k for the service plan.
21. Communities and Culture has underspent by £441k, which is primarily due to sport and active leisure savings, an underspend on ward committee expenditure and vacancy management measures across the service.

However, there was a significant shortfall of income in libraries of £207k resulting in an overall underspend of £441k for the service plan.

22. The directorate has a number of carry forward requests outlined in paragraph 28.

Customer & Business Support Services

23. Customer & Business Support Services has reported a year end underspend of 241k, which is a £72k improvement from the Monitor 3 report. The underlying underspend from earlier reports remains, namely the re-alignment of IT support and maintenance contracts which realised in year savings, and is further bolstered by an improvement in the performance of the Benefits subsidy team in reducing financial loss to the council.

Office of the Chief Executive

24. The Office of the Chief Executive is reporting a slight year end overspend of £23k. This is driven by the recent directorate restructure where significant one-off redundancy and pension costs of £72k have been set against an underlying underspend of £49k.

Corporate Budgets

25. These budgets cover Treasury Management, the General Contingency and the remaining General Fund budgets that are retained corporately. The year end position shows an underspend of £1,995k on these budgets, an improvement of £1,051k from Monitor 3. The position is primarily possible due to the unspent 2010-11 Pay Award provision (£800k), one-off VAT refund receipts for claims related to the Fleming and Conde-Nast cases (£644k), the early receipt of the latest YPO dividend (£226k), unallocated General Contingency (£165k) and the fact that the provision created to cover expenditure related to 16/17 year old care legislation remains unallocated (£500k).

Dedicated School Grant (DSG)

26. In the DSG area there is an underspend of £257k against a budget of £92,754k. Due to the nature of the DSG, any underspend must be carried forward and added to the following year's funding with overspends either being funded from the general fund or reducing the following year's funding allocation.

Housing Revenue Account (HRA)

27. The current working balance on the HRA is £8,880k and the year end position identifies an underspend of £463k. There are a number of reasons for the underspend including a number of vacancy management measures across the service and lower loss of rents from void properties.

Carry Forward Requests

28. Financial regulations allow for requests to be made to Members for unspent budgets to be carried forward into the following year. The following list outlines the nature of this year's requests, totalling £168k:
- i) Communities & Neighbourhoods: Ward Committee Budgets (£103k) – primarily unspent budgets relating to street lighting schemes.
 - ii) Communities & Neighbourhoods: Target Hardening (£31k) – a number of schemes came under budget. This request would fund schemes for which funding could not be allocated to in 2010-11.
 - iii) Communities & Neighbourhoods: Community Centres (£34k) – underspends generated from increased usage of centres would be reinvested in maintenance and improvements.
29. It should be noted that any carry forward request that is granted will reduce the provisional underspend, and as a result impact the level of the General Fund reserve.

Reserves

30. The February 2011 Budget Report to Council stated that the minimum level for the General Fund reserve should be £6.1m. Following the 2009-10 outturn, the reserve sat at some £6.7m however the remainder above the minimum level was used to balance the 2011-12 budget. Any underspend that is made would be added back to the reserve with the acceptance of any carry forward requests reducing this figure.
31. A report to Council on 30 June approved the use of £200k of the reserve to fund initiatives in 2011-12, which coupled with the approval of all carry forward requests, would mean that there would be a nil movement on the current level of the reserve.
32. It is also important to highlight that any future year end overspends have to be funded from this reserve and Members have to be mindful of the massive task presented by the 2011-12 budget in delivering some £21m of savings. It is clear for 2010-11 that whilst this minimum level has not been breached, failure to deliver the 2011-12 savings would result in the stark reality of a major overspend and subsequent reduction of this reserve. Should this happen the Director of Customer & Business Support Services would have no option but to recommend to Council that the reserve is reinstated to at least its minimum required level which would have implications on future budget setting cycles.

Analysis

33. The analysis of the financial position of the council is included in the body of the report.

Consultation

34. There has been extensive consultation with Trade Union groups on the ongoing implications of the council's financial situation.

Corporate priorities

35. The information and issues included in this report demonstrate progress on achieving the priorities set out in the council's corporate strategy (2009-12).

Implications

36. The implications are:
- Financial - the financial implications are dealt with in the body of the report.
 - Human Resources - there are no specific human resource implications to this report.
 - Equalities - there are no specific equality implications to this report, however equalities issues are accounted for at all stages of the financial planning and reporting process.
 - Legal - there are no legal implications to this report.
 - Crime and Disorder - there are no specific crime and disorder implications to this report.
 - Information Technology - there are no information technology implications to this report.
 - Property - there are no property implications to this report.
 - Other - there are no other implications to this report.

Risk Management

37. The risk management processes embedded across the council continue to contribute to managing the risk issues associated with major projects and key areas of service delivery.

Recommendations

38. Members are asked to:
- a. Note the provisional underspend of £369k and the current level of the General Fund reserve.

Reason: In order to inform future financial decision making.

- b. Review the requests for the carry forward of budgets into 2010-12, detailed in paragraph 28 and totalling £168k, and determine which should be approved noting that any approvals would reduce the provisional £369k underspend

Reason: So that resources can be directed into those areas that meet corporate priorities.

Chief Officers Responsible for the report:			
Ian Floyd, Director for Customer & Business Support Services Keith Best, Assistant Director for Customer & Business Support Services (Financial Services)			
Report Approved	<i>tick</i>	Date	<i>June 2011</i>
	✓		
Specialist Implications Officer(s) - None			
Wards Affected: <i>None</i>			All <i>tick</i>
For further information please contact the author of the report			

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Effective Organisation Overview & Scrutiny Committee

20 July 2011

Report of the Assistant Director, Governance & ITT

Report – Draft Work Plan for 2011/2012

Summary

1. This report deferred from the meeting on 28 June 2011 presents the Committee's draft work plan for the forthcoming year and asks Members to consider any additions and/or amendments they may wish to make to it. The draft work plan is attached at Annex A to this report.

Background

2. At the beginning of each municipal year, each Overview & Scrutiny Committee is asked to formulate its workplan for the coming year. The work plan is an ongoing and fluid document that the Committee can amend throughout the year to allow for the inclusion of and pertinent issues arising relevant to the committee's specific remit. It also contains a number of items that are provided on a regular cycle. This ongoing work is briefly explained in the paragraphs below:

Ongoing Work

3. Year End Outturn Reports and Quarterly Monitoring Reports
These regular reports allow Members to monitor the financial performance of the Council throughout the year and can be used to identify potential areas for review.
4. Reports from the Leader and Cabinet Members
Once/twice a year the relevant Executive Members and/or Leader are invited to attend a meeting of the Overview & Scrutiny Committee to discuss their priorities and challenges for the year ahead. This usually takes the form of a verbal report but some Executive Members may choose to submit a written report. The Committee can choose to invite the Executive Member to attend more frequently should they wish to do so.
5. Recommendations Arising from Previous Scrutiny Reviews
Once a scrutiny review is complete the final report is presented to the Cabinet and they are asked to approve the arising recommendations. They will instruct officers to implement all of the approved recommendations as soon as possible. Scrutiny Committees monitor their implementation, usually on a six-month rolling basis and sign off the recommendations once they have been adequately achieved.

Identifying Suitable Topics for Future Scrutiny Review

6. In order to highlight to this Committee possible suitable topics for scrutiny review in this coming municipal year, the relevant Executive Members have been invited to attend this meeting to discuss their priorities for the year. At this meeting you will also have heard from Senior Officers about ongoing and planned work within Directorates (relevant to the remit of this Committee).
7. Finally, the Scrutiny Services Team have been informed of a number of possible topics which Councillors are considering submitting. Once received, any relevant to the remit of this committee will need to be considered alongside the information provided by the Executive Members and/or senior officers, when agreeing priorities for this year's annual workplan.
8. Outside of this forward planning other pertinent issues received throughout the year will need to be considered as and when they arise, and where appropriate the Committee may be asked to revise their workplan accordingly.

Consultation

9. The Scrutiny Officer will be in attendance at the meeting and will be happy to answer any questions Members may have on any of the items currently shown on the draft workplan. Members will also have the opportunity, to discuss potential items for the work plan and potential topics for review with those senior officers present at this meeting, the Lead Officer allocated to this Committee and the Scrutiny Officer.

Analysis

10. At this stage, to progress the population of the workplan, the Committee are asked only to identify a number of broad subjects for possible scrutiny review. The scrutiny officer together with relevant officers, will then carry out some research, and gather evidence in order to provide detailed information on each topic at a future meeting. The Committee will then be asked to identify resulting specific problems and issues, or areas of associated policy development which they would like to focus any scrutiny review on, and to prioritise their work on any agreed topics. These informed choices for reviews will then be programmed into the draft workplan.

Options

11. Members are asked to consider the draft work plan and make any amendments/additions they feel necessary. They may also choose at this stage to identify any possible topics for in depth consideration at a future meeting, in order to agree the committee's workplan for 2011/2012.

Corporate Strategy

12. The work of this Committee relates to the 'Effective Organisation' theme of the Corporate Strategy 2009/2012.

Implications

13. There are no known financial, human resources, legal or other implications associated with the recommendations within this report. Any implications arising from reviews will be addressed within reports associated with the individual review.

Risk Management

14. In compliance with the Council's risk management strategy there are no known risks associated with the recommendations within this report.

Recommendations

15. Members are requested to consider the draft work plan for 2011/2012 and identify any broad topics they would like to detailed information on.

Reason: In order to provide the Committee with a work programme for future meetings.

Contact Details

Author:

Melanie Carr
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Scrutiny Services
01904 552063

Chief Officer Responsible for the report:

Andrew Docherty
Assistant Director Governance & ICT
01904 551004

Report Approved



Date

8 June 2011

Specialist Implications Officer(s) None

Wards Affected:

All



For further information please contact the author of the report

Background Papers:

None

Annexes:

Annex A Draft Workplan

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Effective Organisation Overview & Scrutiny Committee Work Plan 2011-12

Meeting Date	Work Programme
28 June 2011 @ 5pm	<ol style="list-style-type: none"> 1. Introduction to Committee Remit & Terms of Reference 2. Presentation by Assistant Directors on ongoing work within Resources Directorate & future planned work 3. Attendance of Executive Member for Corporate Services to discuss her priorities and challenges for this municipal year
20 July 2011 @ 5.30pm	<ol style="list-style-type: none"> 1. Report on Draft Workplan for 2011-12 2. 2010-11 Year End Financial Outturn Report 3. 2010-11 Performance Report
13 September 2011 @ 5pm	<ol style="list-style-type: none"> 1. First Quarter Monitoring Report 2. 2011/12 Budget Update I (Andrew Crookham) 3. Workplan
22 November 2011 @ 5pm	<ol style="list-style-type: none"> 1. Second Quarter Monitoring Report 2. Monitoring Report on Implementation of recommendations arising from previously completed Customer Complaints review (Pauline Stuchfield) 3. Update on 'More for York' (Tracy Carter) 4. Workplan
11 January 2011 @ 5pm	<ol style="list-style-type: none"> 1. Workplan 2. 2011/12 Budget Update II (Andrew Crookham) 3. Monitoring Report on Implementation of Workforce Plan and the uptake, outturn and success of CYC apprenticeships and work-based training (Pauline Stuchfield)
28 February 2012 @ 5pm	<ol style="list-style-type: none"> 1. Third Quarter Monitoring Report 2. Workplan

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